** PUBLIC DISCLOSURE COPY **

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

Department of the Treasury Internal Revenue Service

► Go to www.irs.gov/Form990 for instructions and the latest information.

Α	For the	2021 calendar year, or tax year beginning JUL 1, 2021 and ending	g JUI	N 30, 2022						
В	Check if applicable:	C Name of organization		D Employer iden	tification nu	ımber				
	Address change	CARNEGIE INSTITUTION OF WASHINGTON								
	Name change	Doing business as CARNEGIE SCIENCE		53-019652	23					
	Initial return	Number and street (or P.O. box if mail is not delivered to street address) Room/	/suite	E Telephone num	ber					
	Final return/	5241 BROAD BRANCH RD NW	(202) 387-							
	termin- ated	City or town, state or province, country, and ZIP or foreign postal code		G Gross receipts \$		249,080	,984.			
	Amende return	d WASHINGTON, DC 20015-1305		H(a) Is this a group	p return					
	Applica- tion	F Name and address of principal officer: DR. ERIC D. ISAACS		for subordina	tes?	Yes 🖸	[₹] No			
	pending	SAME AS C ABOVE		H(b) Are all subordinate	es included?	Yes	No			
		mpt status: X 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or	527	If "No," attach	h a list. See	instruction	าร			
		: ▶ WWW.CARNEGIESCIENCE.EDU		H(c) Group exemp						
			Year of	f formation: 1904	M State of	legal domi	cile: DC			
P	_	Summary								
ø	1 E	riefly describe the organization's mission or most significant activities: SEE SCHEDUL	E O.							
Activities & Governance	-									
ern	2 (theck this box if the organization discontinued its operations or disposed of the organization discontinued its operations of the organization discontinued its operations of the organization discontinued its operation discont		1	1		1.0			
Š	3 1	lumber of voting members of the governing body (Part VI, line 1a)			3		19			
<u>«</u>	4 1	lumber of independent voting members of the governing body (Part VI, line 1b)			4		19			
ies	5 T	otal number of individuals employed in calendar year 2021 (Part V, line 2a)			5 6		492			
ŧi	6 T	otal number of volunteers (estimate if necessary)			б 7а	3 / 9 2	,294.			
Ä	/a	otal unrelated business revenue from Part VIII, column (C), line 12 let unrelated business taxable income from Form 990-T, Part I, line 11			7a 7b		,021.			
_	l biv	et differated busiliess taxable filcome from Form 990-1, Fart i, life 11	<u> </u>	Prior Year		rrent Yea				
	8 0	Contributions and grants (Part VIII, line 1h)		16,048,86		16,168				
Jue	9 F	rogram service revenue (Part VIII, line 2g)	8,037,63							
Revenue	10 Ir	estment income (Part VIII, column (A), lines 3, 4, and 7d)		103,243,88	_	141,086				
æ	11 0	other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		667,56	_		,555.			
	1	otal revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		127,997,93	6.	164,744				
		irants and similar amounts paid (Part IX, column (A), lines 1-3)		445,64	6.	455	,826.			
	1	enefits paid to or for members (Part IX, column (A), line 4)		1	0.		0.			
ý	45 0	alaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		52,600,70	8.	54,667	,272.			
Expenses	16a F	rofessional fundraising fees (Part IX, column (A), line 11e)		ı	0.		0.			
ğ	. b⊺	otal fundraising expenses (Part IX, column (D), line 25) 3,342,063.								
Û	17 C	other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		39,909,08	_	43,294				
	18 T	otal expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		92,955,43		98,417				
	19 F	evenue less expenses. Subtract line 18 from line 12		35,042,50	2.	66,326	,954.			
s or			Begi	inning of Current Yea		nd of Yea				
Net Assets or	20 T	otal assets (Part X, line 16)		1,453,446,70		305,606				
et A	21 T	otal liabilities (Part X, line 26)		217,262,675 1,236,184,025		210,660				
	22 N	let assets or fund balances. Subtract line 21 from line 20 Signature Block		1,230,104,02	J. 1	,094,945	,923.			
		ies of perjury, I declare that I have examined this return, including accompanying schedules and st	tatemen	te and to the heet of	my knowledd	a and halia	f it ic			
	-	and complete. Declaration of preparer (other than officer) is based on all information of which pre			illy kilowicuţ	jo and bono	1, 11 13			
truc	, 0011001,	and complete. Becautation of proparer (earlier than emost) to based on an information of which pro-	paror II	do any knowledge:						
Sig	n	Signature of officer		Date						
Hei		BENJAMIN J. ADERSON, GENERAL COUNSEL AND SECRETARY					_			
		Type or print name and title		1	=	_				
		Print/Type preparer's name Preparer's signature	Da	ate Check	P-	ΓIN				
Pai		ARY TORRETTA May O Joutto		5/10/23 if self-em	nployed P008	347851				
Pre	parer	Firm's name GRANT THORNTON LLP		Firm's EIN		55558				
Use	Only	Firm's address 1000 WILSON BLVD., SUITE 1500								
		ARLINGTON, VA 22209		Phone no. 7	03-847-75	500				
Ma	y the IR	S discuss this return with the preparer shown above? See instructions			Х	Yes	No			

Form **8868**

(Rev. January 2022)

Department of the Treasury Internal Revenue Service

Application for Automatic Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

► Go to www.irs.gov/Form8868 for the latest information.

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the

OMB No. 1545-0047

forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits. Automatic 6-Month Extension of Time. Only submit original (no copies needed). All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns. Type or Name of exempt organization or other filer, see instructions. Taxpayer identification number (TIN) print CARNEGIE INSTITUTION OF WASHINGTON 53-0196523 File by the Number, street, and room or suite no. If a P.O. box, see instructions. due date for filing your 5241 BROAD BRANCH RD NW return. See City, town or post office, state, and ZIP code. For a foreign address, see instructions. instructions. WASHINGTON, DC 20015-1305 Enter the Return Code for the return that this application is for (file a separate application for each return) **Application** Return **Application** Return Is For Code Is For Code Form 990 or Form 990-EZ Form 1041-A 01 08 Form 4720 (individual) 03 Form 4720 (other than individual) 09 Form 990-PF 04 Form 5227 10 Form 990-T (sec. 401(a) or 408(a) trust) Form 6069 05 11 Form 990-T (trust other than above) 06 Form 8870 12 Form 990-T (corporation) JESSICA PORET The books are in the care of
 5241 BROAD BRANCH ROAD, NW - WASHINGTON, DC 20005 Telephone No. ▶ 202-387-6400 Fax No. If the organization does not have an office or place of business in the United States, check this box If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this If it is for part of the group, check this box MAY 15, 2023 I request an automatic 6-month extension of time until , to file the exempt organization return for the organization named above. The extension is for the organization's return for: calendar year ► X tax year beginning JUL 1, 2021 JUN 30, 2022 , and ending Initial return Final return If the tax year entered in line 1 is for less than 12 months, check reason: Change in accounting period If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and 0. estimated tax payments made. Include any prior year overpayment allowed as a credit. 3b Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-TE and Form 8879-TE for payment instructions

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For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2022)

	Check if Schedule O contains a	response or note to any line in this Part III		X
1	Briefly describe the organization's missing SEE SCHEDULE 0			
2	Did the organization undertake any sig	nificant program services during the year wh	ich were not listed on the	
_				Yes X No
	If "Yes," describe these new services of			
3	Did the organization cease conducting If "Yes," describe these changes on So	ງ, or make significant changes in how it condເ chedule O	ucts, any program services?	Yes X No
4		ervice accomplishments for each of its three	largest program services, as measured	by expenses.
		ations are required to report the amount of g		•
	revenue, if any, for each program servi			T 650 600
4a	(Code:) (Expenses \$ SEE SCHEDULE O.	67,904,146. including grants of \$) (Revenue \$	7,658,682.
	SIII BENILDOIL G.			
4b	(Code:) (Expenses \$	8 , 168 , 150 . including grants of \$	455,826.) (Revenue\$)
	SEE SCHEDULE O.			
	-			
4c	(Code:) (Expenses \$	including grants of \$) (Revenue \$)
	-			
4d	Other program services (Describe on S	Schedule O.)		
	(Expenses \$	including grants of \$) (Revenue \$)
4e	Total program service expenses	76,072,296.		Form 990 (2021)

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors? See instructions	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		x
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
-	during the tax year? If "Yes," complete Schedule C, Part II	4	Х	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
•	similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III	5		x
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to	۰		
U	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		x
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,	-		
′		7		x
•	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	- ′-		_ A
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete		v	
	Schedule D, Part III	8	Х	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		Х
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments			
	or in quasi endowments? If "Yes," complete Schedule D, Part V	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X,			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a	Х	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	Х	
С	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		Х
d	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d		х
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Х	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Х	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a	Х	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
_	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		x
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Х	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Х	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any	110		
	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		x
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			
10	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		x
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,	10		
17	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions	17		x
40				
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines	10		x
40	1c and 8a? If "Yes," complete Schedule G, Part II	18		_ A
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"			_v
00	complete Schedule G, Part III	19		X
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		Х
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes." complete Schedule I, Parts I and II	21		X

Part IV	Checklist of Required Schedules	(continued)
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ı a	Officerist of nequired Scriedules (continued)			
	-		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on		v	
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Х	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete		х	
04 -	Schedule J	23		
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			v
	Schedule K. If "No," go to line 25a	24a		X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25 a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			v
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		Х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete	l		
	Schedule L, Part I	25b		Х
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current			
	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26	Х	
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,			
	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			l
	entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		Х
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV,			
	instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If			l
	"Yes," complete Schedule L, Part IV	28a		X
	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		Х
С	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If			
	"Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Х	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30	Х	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		Х
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
	Part V, line 1	34	Х	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		Х
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity	1		
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?	1		
	If "Yes," complete Schedule R, Part V, line 2	36		Х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Х
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19?			
_	Note: All Form 990 filers are required to complete Schedule O	38	Х	
Pa	T V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V			
			Yes	No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable 126	-		
b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c	Х	ı

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Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Х	
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.			
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	За	X	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	Х	
	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X	
b	If "Yes," enter the name of the foreign country ▶ CHILE			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		Х
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		Х
С	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5с		
	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit			
	any contributions that were not tax deductible as charitable contributions?	6a		Х
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		Х
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required			
	to file Form 8282?	7c		Х
d	If "Yes," indicate the number of Forms 8282 filed during the year			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Х
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the	_		
•	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.	0-		
a	Did the sponsoring organization make any taxable distributions under section 4966? Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9a 9b		
10	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter:	90		
а	Initiation fees and capital contributions included on Part VIII, line 12			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
11	Section 501(c)(12) organizations. Enter:			
а	Gross income from members or shareholders			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against			
	amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note: See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans			
	Enter the amount of reserves on hand			
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b		
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or			"
	excess parachute payment(s) during the year?	15		Х
16	If "Yes," see the instructions and file Form 4720, Schedule N.	40		Х
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		_
17	If "Yes," complete Form 4720, Schedule O. Section 501(a)(21) organizations. Did the trust any disqualified person, or mine operator ongage in any			
17	Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952 or 4953?	17		
	If "Yes," complete Form 6069.			
	,			

6

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI			Х
Sec	tion A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year			
	If there are material differences in voting rights among members of the governing body, or if the governing			
	body delegated broad authority to an executive committee or similar committee, explain on Schedule O.			
b	Enter the number of voting members included on line 1a, above, who are independent 1b 19			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other			
	officer, director, trustee, or key employee?	2	Х	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision			
	of officers, directors, trustees, or key employees to a management company or other person?	3	Х	
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		Х
6	Did the organization have members or stockholders?	6		Х
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or			
	more members of the governing body?	7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or			
	persons other than the governing body?	7b		Х
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
а	The governing body?	8a	Х	
b	Each committee with authority to act on behalf of the governing body?	8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the	_		
800	organization's mailing address? If "Yes," provide the names and addresses on Schedule O	9		Х
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			
40-	Did the constitution have been been been been as of the constitution.	40-	Yes	No X
	Did the organization have local chapters, branches, or affiliates?	10a		
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,	406		
44-	and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Х	
	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? Describe on Schedule O the process, if any, used by the organization to review this Form 990.	11a	21	
b 12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Х	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Х	
	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes." describe	120		
·		12c	х	
13	on Schedule O how this was done Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	Х	
15	Did the process for determining compensation of the following persons include a review and approval by independent	17		
.0	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a	Х	
b	Other officers or key employees of the organization	15b	Х	
_	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a			
	taxable entity during the year?	16a		Х
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation			
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's			
	exempt status with respect to such arrangements?	16b		
Sec	tion C. Disclosure			
17	List the states with which a copy of this Form 990 is required to be filed ▶CA, DC, MD			
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s	only)	availal	ole
	for public inspection. Indicate how you made these available. Check all that apply			
	X Own website Another's website X Upon request Other (explain on Schedule O)			
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and	financ	cial	
	statements available to the public during the tax year.			
20	State the name, address, and telephone number of the person who possesses the organization's books and records			
	JESSICA PORET - 202-387-6400			
	5241 BROAD BRANCH ROAD, NW, WASHINGTON, DC 20005			

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See the instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

See the instructions for the order in which to list the persons above.

(A) Name and title	(B) Average hours per	box	, unle	Pos heck ss pe	rson i	than of structures that the structures the structures that the structures the structures that the structures the structures that the structures the structures that the structures that the structures that the structures the structures that the structures that the structures the structures the structures the structures that the structures the str	n an	(D) Reportable compensation	(E) Reportable compensation from related	(F) Estimated amount of other
	week (list any hours for related organizations below line)	stee or director	In stit utional trustee	Officer	Key employee	Highest compensated employee		from the organization (W-2/1099-MISC/ 1099-NEC)	from related organizations (W-2/1099-MISC/ 1099-NEC)	compensation from the organization and related organizations
(1) MICHAEL STAMBAUGH	40.00									
CHIEF INVESTMENT OFFICER	0.00					Х		985,618.	0.	51,890.
(2) DR. ERIC D. ISAACS	40.00									
PRESIDENT	0.00		_	Х				781,935.	0.	162,360.
(3) EDWARD LAMADE	40.00									
INVESTMENT DIRECTOR	0.00					Х		394,756.	0.	72,678.
(4) JOHN S. MULCHAEY	40.00									
DIVISION DIRECTOR	0.00				Х			392,597.	0.	60,536.
(5) RICHARD W. CARLSON	40.00									
DIVISION DIRECTOR (THRU 12/21)	0.00				Х			317,736.	0.	68,332.
(6) BENJAMIN J. ADERSON	40.00									
GENERAL COUNSEL & SECRETARY	0.00			Х				315,383.	0.	65,419.
(7) ANN MCELWAIN	40.00									
CHIEF DEVELOPMENT OFFICER	0.00					Х		306,461.	0.	73,933.
(8) YIXIAN ZHENG	40.00									
DIVISION DIRECTOR	0.00				Х			317,599.	0.	52,424.
(9) ANNA M. MICHALAK	40.00									
DEPARTMENT DIRECTOR	0.00				Х			319,404.	0.	44,174.
(10) MICHAEL WALTER	40.00									
DIVISION DIRECTOR	0.00				Х			278,299.	0.	79,952.
(11) SALLY TRACY	40.00									
SCIENTIFIC STAFF MEMBER	0.00					Х		310,793.	0.	37,566.
(12) MICHAEL MCCARTHY	40.00									
CHIEF OPERATING OFFICER (THRU 07/22)	0.00			Х				282,949.	0.	44,247.
(13) REBECCA BERNSTEIN	40.00									
SCIENTIFIC STAFF MEMBER	0.00		_			Х	<u> </u>	267,374.	0.	54,864.
(14) SEUNG YON RHEE	40.00									
SENIOR STAFF SCIENTIST	0.00						Х	246,981.	0.	52,825.
(15) GEORGE D. CODY	40.00									
SCIENTIFIC STAFF MEMBER	0.00		_				Х	223,702.	0.	74,820.
(16) ZHIYONG WANG	40.00									
ACTING DIRECTOR & SNR STF SCI	0.00		_		Х		<u> </u>	237,851.	0.	52,803.
(17) RANDY STRINGER	40.00									
CFO (INTERIM) (BEG 06/22)	0.00			Х				0.	0.	0. Form 990 (2021)

, .									
rustees, Key Emp	loy	ees,	and	l Hiç	ghes	t Co	ompensated Employee	s (continued)	
(B)							(D)	(E)	(F)
Average hours per week	box	not cl	neck i	more son i	e than one i is both an		Reportable compensation	Reportable compensation	Estimated amount of other
(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC/ 1099-NEC)	organizations (W-2/1099-MISC/ 1099-NEC)	compensation from the organization and related organizations
6.00									
0.00	Х		Х				0.	0.	0.
6.00									
0.00	Х		Х				0.	0.	0.
1.00									
0.00	Х						0.	0.	0.
2.00									
0.00	Х						0.	0.	0.
1.00									
0.00	Х						0.	0.	0.
2.00									
0.00	Х						0.	0.	0.
1.00									
0.00	Х						0.	0.	0.
1.00									
0.00	Х						0.	0.	0.
1.00									
0.00	Х						0.	0.	0.
						•	5,979,438.	0.	1,048,823.
							0.	0.	0.
	<u></u> .	<u></u>	<u></u> .	<u></u>			5,979,438.	0.	1,048,823.
	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 6.00 0.00 1.00 0.00 2.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00 1.00 0.00	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 X 6.00 0.00 X 1.00 0.00 X	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 0.00 X 6.00 0.00 X 1.00 0.00 X	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 0.00 0.00 X 1.00 0.00 X 2.00 0.00 X 1.00 0.00 X	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	(B) Average hours per week (list any hours for related organizations below line) 6.00 0.00 X 4.000 0.00 X 1.00 0.00 X	(B) Average hours per week (list any hours for related organizations below line)	Average hours per week (list any hours for related organizations below line) Day of the compensation from the organizations below line) Day of the compensation from the organization shows with the organization (W-2/1099-MISC/1099-NEC) Day of the compensation from the organization (W-2/

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

119

			163	140
3	Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on			
	line 1a? If "Yes," complete Schedule J for such individual	3	Х	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization			
	and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4	Х	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services			
	rendered to the organization? If "Yes." complete Schedule J for such person	5		Х

Section B. Independent Contractors

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
CENTRIC CONSULTING INC		
1215 LYONS RD, DAYTON, OH 45458	IT SYSTEMS CONSULTING	1,615,740.
HURON CONSULTING GROUP INC		
550 W VAN BUREN ST, CHICAGO, IL 60607	CONSULTING FIRM	1,351,874.
STANTON BLACKWELL		
3825 N DITTMAR RD, ARLINGTON, VA 22207	CONSULTING FIRM	464,621.
ORR GROUP		
3000 K ST NW, WASHINGTON, DC 20007	PROJECT SERVICES	333,300.
TAOTI ENTERPRISES, INC.		
507 8TH ST SE, WASHINGTON, DC 20003	PROJECT SERVICES	304,502.
2 Total number of independent contractors (including but not limited to	those listed above) who received more than	
\$100,000 of compensation from the organization	21	
		000

SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 CARNEGIE INSTITUTION OF WASHINGTON 53-0196523											
Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)											
(A)	(E)	(F)									
Name and title	Average	Average Position		(C) Position		Reportable	Reportable	Estimated			
	hours	(check all that apply)				(check all that apply)		compensation	compensation	amount of	
	per week (list any hours for related organizations below	Individual trustee or director	Institutional trustee	-	Key employee	Highest compensated employee	er	from the organization (W-2/1099-MISC)	from related organizations (W-2/1099-MISC)	other compensation from the organization and related organizations	
	line)	Indivi	Instit	Officer	Key e	High	Former				
(27) MARY E. MAXON	1.00										
TRUSTEE	0.00	Х						0.	0.	0.	
(28) MARSHALL WAIS	2.00										
TRUSTEE	0.00	Х						0.	0.	0.	
(30) MICHAEL A. DUFFY	2.00										
TRUSTEE	0.00	х						0.	0.	0.	
(31) MICHAEL LONG	1.00										
TRUSTEE	0.00	Х						0.	0.	0.	
(32) MICHAEL WILSON	1.00										
TRUSTEE	0.00	Х						0.	0.	0.	
(33) RAY ROTHROCK	2.00										
TRUSTEE	0.00	Х						0.	0.	0.	
(34) RUSH D. HOLT, JR.	1.00										
TRUSTEE	0.00	х						0.	0.	0.	
(35) SANDRA M. FABER	1.00										
TRUSTEE	0.00	х						0.	0.	0.	
(36) STEPHEN P.A. FODOR	1.00										
TRUSTEE	0.00	х						0.	0.	0.	
(37) STEPHEN QUAKE	1.00										
TRUSTEE (BEG 05/22)	0.00	Х						0.	0.	0.	
(38) SUSANNE NORA JOHNSON	1.00										
TRUSTEE (THRU 05/22)	0.00	х						0.	0.	0.	
(39) TOM KORZENECKI	1.00										
TRUSTEE	0.00	Х						0.	0.	0.	
					_	_					
		-									
				_		_					
		l									
Total to Part VII, Section A, line 1c											

Form 990 (2021) CARNEGIE II
Part VIII Statement of Revenue

		Check if Schedule O contains a response of	or note to any lin	e in this Part VIII			
		•	,	(A)	(B)	(C)	(D)
				Total revenue	Related or exempt	Unrelated	Revenue excluded from tax under
					function revenue	business revenue	sections 512 - 514
SS	1:	Federated campaigns 1a					
ant							
Contributions, Gifts, Grants and Other Similar Amounts		Membership dues 1b 1c 1c					
		d Related organizations 1d					
		e Government grants (contributions)	12,141,556.				
Sin		All other contributions, gifts, grants, and	,,				
uti Je	'	similar amounts not included above	4,027,180.				
ĢË		Noncash contributions included in lines 1a-1f	794,600.				
no.		Total. Add lines 1a-1f		16,168,736.			
0 10		1 Total. Add lines 1a-11	Business Code				
	ο.	MAGELLAN TELESCOPE PROGRAM	541700	4,198,330.	4,198,330.		
je	2 4	TELESCOPE NIGHTS	541700	1,091,347.	1,091,347.		
Ser	,	SLOAN DIGITAL SKY SURVEY	541700	629,285.	629,285.		
m S	Ì	PLANT METAB. ENGRG & SUSTBLTY	541700	421,451.	421,451.		
gra Re	Ì	GEOCHEMICAL SOCIETY OFFICE	541700	264,496.	264,496.		
Program Service Revenue	,	´	541700	1,053,773.	1,053,773.		
		All other program service revenue		7,658,682.	2,000,770.		
-	3	Investment income (including dividends, interes		.,000,002.			
	3	other similar amounts)		4,481,328.		3,463,794.	1,017,534.
	4	Income from investment of tax-exempt bond pi		-,,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	5	Royalties		360,822.			360,822.
	3	(i) Real	(ii) Personal	,			
	6 -	a Gross rents 6a 241,448.	(1) 1 01001141				
		Less: rental expenses 6b 828,423.					
		Rental income or (loss) 6c -586,975.					
		Net rental income or (loss)		-586,975.			-586,975.
		Gross amount from sales of (i) Securities	(ii) Other	,			,
	, ,	assets other than inventory 7a 157,843,501.	` ,				
		Less: cost or other basis	7 - 7 - 1 - 7				
<u>o</u>	•	and sales expenses 7b 76,561,913.	6.945.876.				
nue		Gain or (loss) 7c 81,281,588.					
her Revenue		Net gain or (loss)		136,605,581.			136,605,581.
er F		Gross income from fundraising events (not		, , ,			
Đ Đ	•	including \$ of					
		contributions reported on line 1c). See					
		Part IV, line 18					
	ı	Less: direct expenses 8b					
		Net income or (loss) from fundraising events	•				
		Gross income from gaming activities. See					
		Part IV, line 199a					
	ı	Less: direct expenses 9b					
		Net income or (loss) from gaming activities					
		Gross sales of inventory, less returns					
		and allowances 10a					
	ŀ	Less: cost of goods sold 10b					
		Net income or (loss) from sales of inventory					
		,	Business Code				
sno	11 a	CELL TOWER RENTAL	900099	28,500.		28,500.	
Miscellaneous Revenue	ŀ	MOUNTAIN PRESENCE INCOME	721110	28,098.			28,098.
eve	(·					
Aisc	(All other revenue					
_	•	Total. Add lines 11a-11d		56,598.			
	12	Total revenue. See instructions		164,744,772.	7,658,682.	3,492,294.	137,425,060.

132009 12-09-21

Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a respons Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21		·		·
2 Grants and other assistance to domestic individuals. See Part IV, line 22	455,826.	455,826.		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors,				
trustees, and key employees	4,096,670.	1,191,235.	2,667,737.	237,698
6 Compensation not included above to disqualified				
persons (as defined under section 4958(f)(1)) and				
persons described in section 4958(c)(3)(B)	653,598.	653,598.		
7 Other salaries and wages	36,918,652.	34,039,507.	1,475,308.	1,403,837
8 Pension plan accruals and contributions (include				
section 401(k) and 403(b) employer contributions)	4,787,449.	4,023,550.	521,761.	242,138
9 Other employee benefits	5,493,364.	4,607,749.	607,650.	277,965
10 Payroll taxes	2,717,539.	2,234,401.	345,020.	138,118
11 Fees for services (nonemployees):				
a Management	31,500.		31,500.	
b Legal	355,605.	71,471.	284,134.	
c Accounting	258,500.		258,500.	
d Lobbying	69,727.		69,727.	
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	4,443,426.		4,443,426.	
g Other. (If line 11g amount exceeds 10% of line 25,				
column (A), amount, list line 11g expenses on Sch O.)	6,578,914.	2,363,938.	3,421,129.	793,847
12 Advertising and promotion				
13 Office expenses	1,272,152.	924,698.	309,329.	38,125
14 Information technology	2,546,683.	1,747,289.	782,916.	16,478
15 Royalties				
16 Occupancy	3,331,237.	2,897,746.	418,401.	15,090
17 Travel	794,791.	658,550.	122,399.	13,842
18 Payments of travel or entertainment expenses				
for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	734,908.	319,351.	393,558.	21,999
20 Interest	5,344,498.	3,541,672.	1,802,826.	
Payments to affiliates				
22 Depreciation, depletion, and amortization	8,537,959.	8,487,463.	50,496.	
23 Insurance	620,606.	148,096.	472,510.	
Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule 0.)				
a UBIT TAXES	460,807.	460,807.		
b RESEARCH EXPENSES	5,110,352.	5,053,666.	51,076.	5,610
c ACTURIAL COSTS	703,279.	578,247.	89,288.	35,744
d REPAIRS AND MAINTENANCE	256,206.	235,238.	20,968.	
e All other expenses	1,843,570.	1,378,198.	363,800.	101,572
25 Total functional expenses. Add lines 1 through 24e	98,417,818.	76,072,296.	19,003,459.	3,342,063
Joint costs. Complete this line only if the organization				
reported in column (B) joint costs from a combined				
educational campaign and fundraising solicitation.				
Check here if following SOP 98-2 (ASC 958-720)				Form 990 (202

Form 990 (2021) Part X | Balance Sheet

Par	t X	Balance Sheet					
		Check if Schedule O contains a response or no	te to any	line in this Part X			
					(A) Beginning of year		(B) End of year
	1	Cash - non-interest-bearing		774.	1	510	
	2	Savings and temporary cash investments			36,236,786.	2	29,311,75
	3	Pledges and grants receivable, net			5,634,664.	3	5,464,39
	4	Accounts receivable, net			399,250.	4	725,16
	5	Loans and other receivables from any current of					
		trustee, key employee, creator or founder, sub-	stantial co	ontributor, or 35%			
		controlled entity or family member of any of the	ese perso	ons	251,520.	5	196,56
	6	Loans and other receivables from other disqua	lified pers	sons (as defined			
		under section 4958(f)(1)), and persons describe	ed in sect	ion 4958(c)(3)(B)		6	
ပ္ပ	7	Notes and loans receivable, net			582,851.	7	570,29
Assets	8	Inventories for sale or use				8	
¥	9	Down and a superior and a defended a language			66,940,076.	9	68,762,25
	10a	Land, buildings, and equipment: cost or other					
		basis. Complete Part VI of Schedule D	10a	271,182,612.			
	b	Less: accumulated depreciation	10b	166,625,665.	115,647,116.	10c	104,556,94
	11	Investments - publicly traded securities			804,874,114.	11	679,315,05
	12	Investments - other securities. See Part IV, line	368,062,207.	12	363,840,87		
	13	Investments - program-related. See Part IV, line	11			13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11	54,817,350.	15	52,862,19		
	16	Total assets. Add lines 1 through 15 (must eq			1,453,446,708.	16	1,305,606,00
	17	Accounts payable and accrued expenses			9,111,858.	17	10,782,31
	18	Grants payable		18			
	19				26,500,804.	19	26,886,42
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete				21	
ဖွ	22	Loans and other payables to any current or for	mer office	er, director,			
<u> </u>		trustee, key employee, creator or founder, sub-	stantial co	ontributor, or 35%			
Liabilities		controlled entity or family member of any of the	ese perso	ons		22	
<u> </u> دّ	23	Secured mortgages and notes payable to unre	lated thire	d parties		23	
	24	Unsecured notes and loans payable to unrelate	ed third p	arties		24	
	25	Other liabilities (including federal income tax, p	ayables t	o related third			
		parties, and other liabilities not included on line	s 17-24).	Complete Part X			
		of Schedule D			181,650,017.	25	172,991,345
	26	Total liabilities. Add lines 17 through 25			217,262,679.	26	210,660,080
		Organizations that follow FASB ASC 958, ch	eck here	x X			
es		and complete lines 27, 28, 32, and 33.					
au	27	Net assets without donor restrictions			362,758,108.	27	361,722,59
ga	28	Net assets with donor restrictions			873,425,921.	28	733,223,32
<u> </u>		Organizations that do not follow FASB ASC					
로		and complete lines 29 through 33.					
ō	29	Capital stock or trust principal, or current funds	s			29	
set	30	Paid-in or capital surplus, or land, building, or e				30	
AS	31	Retained earnings, endowment, accumulated i				31	
Net Assets or Fund Balances	32	Total net assets or fund balances			1,236,184,029.	32	1,094,945,92
-	33				1,453,446,708.	33	1,305,606,009

Pai	rt XI Reconciliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI				X
1	Total revenue (must equal Part VIII, column (A), line 12)	1	164	744,	772.
2	Total expenses (must equal Part IX, column (A), line 25)	2	98	417,	818.
3	Revenue less expenses. Subtract line 2 from line 1	3	66	,326,	954.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))				
5	Net unrealized gains (losses) on investments	5	-215	,813,	798.
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain on Schedule O)	9	8 ,	248,	738.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,				
	column (B))	10	1,094	945,	923.
Pa	rt XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
				Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other				
	If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule	Ο.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		. 2a		х
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a			
	separate basis, consolidated basis, or both:				
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		. 2b	Х	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,			
	consolidated basis, or both:				
	X Separate basis Consolidated basis Both consolidated and separate basis				
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	audit,			
	review, or compilation of its financial statements and selection of an independent accountant?		. 2c	Х	
	If the organization changed either its oversight process or selection process during the tax year, explain on Scho	edule O.			
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit			1
	Act and OMB Circular A-133?	_	3a	Х	1
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required				
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		. 3b	Х	
			Form	990	(2021)

SCHEDULE A

(Form 990)

Total

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization **Employer identification number** CARNEGIE INSTITUTION OF WASHINGTON 53-0196523 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). Х A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in 5 section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) No above (see instructions))

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Calendar year (or fiscal year beginning in) ► (a) 2017 (b) 2018 (c) 2019 (d) 2020 (e) 2021 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf 3 The value of services or facilities furnished by a governmental unit to the organization without charge 4 Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 5 The portion of total contributions by each person (other than a governmental unit or publicly	(f) Total 36. 101,652,563.
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf 3 The value of services or facilities furnished by a governmental unit to the organization without charge 4 Total. Add lines 1 through 3 5 The portion of total contributions by each person (other than a	36. 101,652,563.
membership fees received. (Do not include any "unusual grants.") 2	
include any "unusual grants.") 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf The value of services or facilities furnished by a governmental unit to the organization without charge Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 The portion of total contributions by each person (other than a	
ization's benefit and either paid to or expended on its behalf 3 The value of services or facilities furnished by a governmental unit to the organization without charge 4 Total. Add lines 1 through 3	6. 101,652,563.
or expended on its behalf The value of services or facilities furnished by a governmental unit to the organization without charge Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 The portion of total contributions by each person (other than a	6. 101,652,563.
The value of services or facilities furnished by a governmental unit to the organization without charge Total. Add lines 1 through 3	6. 101,652,563.
furnished by a governmental unit to the organization without charge 4 Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 5 The portion of total contributions by each person (other than a	6. 101,652,563.
the organization without charge 4 Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 5 The portion of total contributions by each person (other than a	86. 101,652,563.
4 Total. Add lines 1 through 3 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73 5 The portion of total contributions by each person (other than a	36. 101,652,563.
5 The portion of total contributions by each person (other than a	36. 101,652,563.
by each person (other than a	
governmental unit or publicly	
J 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
supported organization) included	
on line 1 that exceeds 2% of the	
amount shown on line 11,	
column (f)	842,081.
6 Public support. Subtract line 5 from line 4.	100,810,482.
Section B. Total Support	
Calendar year (or fiscal year beginning in) ▶ (a) 2017 (b) 2018 (c) 2019 (d) 2020 (e) 2021	(f) Total
7 Amounts from line 4 29,281,249. 23,406,867. 16,746,850. 16,048,861. 16,168,73	36. 101,652,563.
8 Gross income from interest,	
dividends, payments received on	
securities loans, rents, royalties,	
and income from similar sources 12,766,124. 14,746,075. 5,999,936. 4,949,797. 1,619,80	40,081,736.
9 Net income from unrelated business	
activities, whether or not the	
business is regularly carried on 189,398. 8,830. 2,303,02	2,501,249.
10 Other income. Do not include gain	
or loss from the sale of capital	
assets (Explain in Part VI.)	1,160,397.
11 Total support. Add lines 7 through 10	145,395,945.
12 Gross receipts from related activities, etc. (see instructions)	35,077,710.
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3)	
organization, check this box and stop here	>
Section C. Computation of Public Support Percentage	
14 Public support percentage for 2021 (line 6, column (f), divided by line 11, column (f))	69.34 %
15 Public support percentage from 2020 Schedule A, Part II, line 14	68.99 %
16a 33 1/3% support test - 2021. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this	box and
stop here. The organization qualifies as a publicly supported organization	
b 33 1/3% support test - 2020. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check	this box
and stop here. The organization qualifies as a publicly supported organization	▶□
17a 10% -facts-and-circumstances test - 2021. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10	0% or more,
and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization	anization
meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization	>
b 10% -facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15	is 10% or
more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the	he
organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization	>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instruction	ions ▶

Schedule A (Form 990) 2021

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support		•				
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.) Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources	(1)	\(\alpha\)	(2)	(1)	(7)	(1)
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)		-			1	
14 First 5 years. If the Form 990 is for the	•			•		. —
check this box and stop here Section C. Computation of Public						>
•			1 (6)		T 45 T	
15 Public support percentage for 2021 (lii	, , , , , , , , , , , , , , , , , , , ,	•	.,,		15	<u>%</u>
16 Public support percentage from 2020 Section D. Computation of Inves		<u> </u>			16	%
•			ino 13 column (f)		17	04
17 Investment income percentage for 20.18 Investment income percentage from 2					18	<u>%</u>
19a 33 1/3% support tests - 2021. If the			on line 14 and line			
more than 33 1/3%, check this box an					- 4.5	▶ □
b 33 1/3% support tests - 2020. If the	=	-				
line 18 is not more than 33 1/3%, chec	ū					. \square

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Schedule A (Form 990) 2021

Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7?

 If "Yes." complete Part I of Schedule L (Form 990).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- **b** Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI.**
- c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.
 - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
•		
2		
За		
Ja		
3b		
2-		
3c		
4a		
4b		
4c		
5a		
5b 5c		
30		
6		
6		
7		
8		
9a		
9b		
9с		
10a		
10b		
ule A (Fo	rm 990)	2021

Pai	TIV Supporting Organizations (continued)			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and			
	11c below, the governing body of a supported organization?	11a		
b	A family member of a person described on line 11a above?	11b		
С	A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide			
	detail in Part VI.	11c		
Sec	tion B. Type I Supporting Organizations			
			Yes	No
1	Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or			
	more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,			
	directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s)			
	effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the			
	supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
Sec	tion C. Type II Supporting Organizations		· · · · ·	
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
-	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Sec	tion D. All Type III Supporting Organizations			
	<i>y</i> 11 0 0		Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the		103	140
•	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
		1		
0	organization's governing documents in effect on the date of notification, to the extent not previously provided?	-		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
•	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described on line 2, above, did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
<u>Sac</u>	supported organizations played in this regard. tion E. Type III Functionally Integrated Supporting Organizations	3		
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)	•		
a	The organization satisfied the Activities Test. Complete line 2 below.			
b	The organization is the parent of each of its supported organizations. Complete line 3 below.			
С	The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see in	struction		
2	Activities Test. Answer lines 2a and 2b below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described on line 2a, above, constitute activities that, but for the organization's involvement,			
	one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in			
	Part VI the reasons for the organization's position that its supported organization(s) would have engaged in			
	these activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer lines 3a and 3b below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
	trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its supported organizations? If "Yes." describe in Part VI the role played by the organization in this regard.	3b		

Pa	rt V Type III Non-Functionally Integrated 509(a)(3) Supporti	ng Orgar	nizations	. aga a
1	Check here if the organization satisfied the Integral Part Test as a qualify	ing trust on	Nov. 20, 1970 (explain in	Part VI). See instructions.
	All other Type III non-functionally integrated supporting organizations mu		•	
Sect	tion A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
_3	Other gross income (see instructions)	3		
_4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Sect	tion B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other factors			
	(explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,			
	see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
_6	Multiply line 5 by 0.035.	6		
_7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	tion C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions).	6		
7	Check here if the current year is the organization's first as a non-function	ally integrate	ed Type III supporting orga	nization (see
	instructions)			

Schedule A (Form 990) 2021

Par	t V Type III Non-Functionally Integrated 509	(a)(3) Supporting Orga	inizations _(continue)	<u>d)</u>	
Secti	on D - Distributions				Current Year
1	Amounts paid to supported organizations to accomplish exe	mpt purposes		1	
2	Amounts paid to perform activity that directly furthers exemp	ot purposes of supported			
	organizations, in excess of income from activity		2		
3	Administrative expenses paid to accomplish exempt purpose	es of supported organizations	3	3	
4	Amounts paid to acquire exempt-use assets			4	
5	Qualified set-aside amounts (prior IRS approval required - pri	ovide details in Part VI)		5	
6	Other distributions (describe in Part VI). See instructions.			6	
7	Total annual distributions. Add lines 1 through 6.			7	
8	Distributions to attentive supported organizations to which the	ne organization is responsive			
	(provide details in Part VI). See instructions.			8	
9	Distributable amount for 2021 from Section C, line 6			9	
10	Line 8 amount divided by line 9 amount		-	10	
Secti	on E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2021		(iii) Distributable Amount for 2021
1	Distributable amount for 2021 from Section C, line 6				
2	Underdistributions, if any, for years prior to 2021 (reason-				
	able cause required - explain in Part VI). See instructions.				
3	Excess distributions carryover, if any, to 2021				
a	From 2016				
b	From 2017				
с	From 2018				
d	From 2019				
е	From 2020				
f	Total of lines 3a through 3e				
g	Applied to underdistributions of prior years				
h	Applied to 2021 distributable amount				
<u>i</u>	Carryover from 2016 not applied (see instructions)				
_ <u>i</u>	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.				
4	Distributions for 2021 from Section D,				
	line 7: \$				
a	Applied to underdistributions of prior years				
<u>b</u>	Applied to 2021 distributable amount				
c	Remainder. Subtract lines 4a and 4b from line 4.				
5	Remaining underdistributions for years prior to 2021, if				
	any. Subtract lines 3g and 4a from line 2. For result greater				
	than zero, explain in Part VI. See instructions.				
6	Remaining underdistributions for 2021. Subtract lines 3h				
	and 4b from line 1. For result greater than zero, explain in				
	Part VI. See instructions.				
7	Excess distributions carryover to 2022. Add lines 3j				
	and 4c.				
	Breakdown of line 7:				
	Excess from 2017				
	Excess from 2018				
	Excess from 2019				
	Excess from 2020				
е	Excess from 2021				

Schedule A (Form 990) 2021

Schedule A (Form 990) 2021 CARNEGIE INSTITUTION OF WASHINGTON	53-0196523	Page 8
Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a of Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any addition (See instructions.)	1 and 2; Part IV, Section V, Section B, line 1e; Pa	n C, art V,
SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:		
REALIZED FX GAIN/LOSS		
2017 AMOUNT: \$ 58,873.		
2018 AMOUNT: \$ -93,104.		
SECURITY LITIGATION INCOME		
2017 AMOUNT: \$ 43,879.		
2018 AMOUNT: \$ 10,724.		
OTHER		
2017 AMOUNT: \$ -374,400.		
2018 AMOUNT: \$ 882,239.		
2019 AMOUNT: \$ 36,680.		
2020 AMOUNT: \$ 3,876.		
MOUNTAIN PRESENCE INCOME		
2017 AMOUNT: \$ 81,952.		
2018 AMOUNT: \$ 65,605.		
2019 AMOUNT: \$ 204,419.		
2020 AMOUNT: \$ 211,556.		
2021 AMOUNT: \$ 28,098.		

Schedule B

(Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

► Attach to Form 990 or Form 990-PF.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Employer identification number

2021

Schedule B (Form 990) (2021)

CARNEGIE INSTITUTION OF WASHINGTON 53-0196523						
Organization type (check o	ne):					
Filers of:	Section:					
Form 990 or 990-EZ	X 501(c)(3) (enter number) organization					
	4947(a)(1) nonexempt charitable trust not treated as a private foundation					
	527 political organization					
Form 990-PF	501(c)(3) exempt private foundation					
	4947(a)(1) nonexempt charitable trust treated as a private foundation					
	501(c)(3) taxable private foundation					
	s covered by the General Rule or a Special Rule. (7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule	e. See instructions.				
General Rule						
general nuie						
	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling one contributor. Complete Parts I and II. See instructions for determining a contributor's	· · ·				
Special Rules						
sections 509(a)(1) a contributor, during	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support that described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support that described in the section of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 1. Complete Parts I and II.	d that received from any one				
For an organization	n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from a	any one				
	the year, total contributions of more than \$1,000 exclusively for religious, charitable, sci					
•	onal purposes, or for the prevention of cruelty to children or animals. Complete Parts I (er) instead of the contributor name and address), II, and III.	ntering				
For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year \ \rightarrow \frac{1}{2} \rightarrow 1						
answer "No" on Part IV, line	nat isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (For 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, g requirements of Schedule B (Form 990).	• •				

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021) Page **2**

Name of organization

Employer identification number

CARNEGIE INSTITUTION OF WASHINGTON

53-0196523

Part I	Contributors (see instructions). Use duplicate copies of Part I if a	additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c) Total contributions	(d)
No. 2	Name, address, and ZIP + 4	\$ \$ 801,190.	Person X Payroll X (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions \$	Person Payroll Complete Part II for noncash contributions.
(a)	(b) Name, address, and ZIP + 4	(c) Total contributions	(d)
No.	Name, audress, dnu Zif + 4	\$	Person Payroll Complete Part II for noncash contributions.
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Omnicash Complete Part II for noncash contributions.)

Schedule B (Form 990) (2021) Page **3**

Name of organization Employer identification number

CARNEGIE INSTITUTION OF WASHINGTON 53-0196523

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.				
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
	SECURITIES				
2	-				
		\$	06/30/22		
(a)		(c)			
No. from	(b)	FMV (or estimate)	(d)		
Part I	Description of noncash property given	(See instructions.)	Date received		
		.			
		\$			
(a)					
No.	(b)	(c) FMV (or estimate)	(d)		
from	Description of noncash property given	(See instructions.)	Date received		
Part I		,			
	-				
		\$			
(a) No.	(1-)	(c)	(4)		
from	(b) Description of noncash property given	FMV (or estimate)	(d) Date received		
Part I	Bossi pusit of the topolity given	(See instructions.)	Buto roconcu		
	-				
		•			
(a)		(c)			
No.	(b)	FMV (or estimate)	(d)		
from Part I	Description of noncash property given	(See instructions.)	Date received		
1 4111					
	-				
		\$			
(a)					
No.	(b)	(c)	(d)		
from	Description of noncash property given	FMV (or estimate) (See instructions.)	Date received		
Part I		(CCC ITSTRUCTIONS.)			
	<u> </u>				
		 _{\$}			

Schedule B (Form 990) (2021) Page 4

lame of or	ganization			Employer identification number		
ARNEGIE	INSTITUTION OF WASHINGTON			53-0196523		
Part III	Exclusively religious, charitable, etc., contribution from any one contributor. Complete columns (a)	through (e) and the following line e	ntry. For organizations			
	completing Part III, enter the total of exclusively religious, c Use duplicate copies of Part III if additional s	haritable, etc., contributions of \$1,000 o	r less for the year. (Enter this info	o. once.) \$		
(a) No. from			(4) D			
Part I	(b) Purpose of gift	(c) Use of gift	(a) D	escription of how gift is held		
		(e) Transfer of g	ift			
	Transferee's name, address, an	d ZIP + 4	Relationship of	transferor to transferee		
			-			
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) D	escription of how gift is held		
Part I	(5) poss or g	(4, 555 5. g	(4, 2			
-		(a) Transfer of a				
	(e) Transfer of gift					
-	Transferee's name, address, an	d ZIP + 4	Relationship of	transferor to transferee		
(a) No.			1			
from Part I	(b) Purpose of gift	(c) Use of gift	(d) D	escription of how gift is held		
	(e) Transfer of gift					
	Transferrada manta adduses an	d 710 . 4	Dalatianahin at	Avenatava da Avenatava		
	Transferee's name, address, an	u ZIP + 4	nelationship of	transferor to transferee		
(a) No. from	(h) Down as a start	(a) 11- a - (a) (b)	(1) 5	anninting of house 1981 to bold		
Part I	(b) Purpose of gift	(c) Use of gift	(a) D	escription of how gift is held		
_						
	(e) Transfer of gift					
	Transferee's name, address, an	d ZIP + 4	Relationship of	transferor to transferee		
			<u>-</u>			

SCHEDULE C (Form 990)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527 Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

	Section 501(c)(4), (5), or (6) organizat	ions: Complete Part III.			
Nan	ne of organization			Emp	loyer identification number
_		NSTITUTION OF WASHINGTON			53-0196523
Pa	art I-A Complete if the org	anization is exempt und	er section 501(c)	or is a section 527 or	ganization.
2	Provide a description of the organiz Political campaign activity expendit Volunteer hours for political campai	ures		> \$	
Pa	art I-B Complete if the org	anization is exempt und	er section 501(c)(3).	
1	Enter the amount of any excise tax	incurred by the organization und	der section 4955	▶\$.
	Enter the amount of any excise tax				
	If the organization incurred a sectio				
4a	Was a correction made?				Yes No
	If "Yes," describe in Part IV.			=0.1/	1/61
Pa	art I-C Complete if the org	anization is exempt und	er section 501(c),	except section 501(c	:)(3).
	Enter the amount directly expended	, ,	·	***************************************	
2	Enter the amount of the filing organ		· ·		
_	exempt function activities				·
3	Total exempt function expenditures		•	,	
4	line 17b				
4 5	Did the filing organization file Form Enter the names, addresses and en				
3	made payments. For each organiza			-	
	contributions received that were pro	·	0 0		· ·
	political action committee (PAC). If	additional space is needed, prov	vide information in Part	IV.	
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990) 2021

LHA

132041 11-03-21

Part II-A Complete if the organization 501(h)).		mpt under section		d Form 5768 (el	ection under	
A Check ► if the filing organizat expenses, and share	e of excess lobbying		Part IV each affiliated	group member's nam	e, address, EIN,	
Limit	s on Lobbying Expe			(a) Filing organization's totals	(b) Affiliated group totals	
1a Total lobbying expenditures to influ	ence public opinion ((grassroots lobbying)				
	b Total lobbying expenditures to influence a legislative body (direct lobbying)					
c Total lobbying expenditures (add lin	es 1a and 1b)					
d Other exempt purpose expenditures	3					
e Total exempt purpose expenditures	(add lines 1c and 1c	d)				
f Lobbying nontaxable amount. Enter	the amount from th	e following table in bot	h columns.			
If the amount on line 1e, column (a) or	(b) is: The lot	obying nontaxable am	ount is:			
Not over \$500,000	20% of	the amount on line 1e				
Over \$500,000 but not over \$1,000	,000 \$100,0	00 plus 15% of the exc	ess over \$500,000.			
Over \$1,000,000 but not over \$1,50	0,000 \$175,0	00 plus 10% of the exc	ess over \$1,000,000.			
Over \$1,500,000 but not over \$17,0	000,000 \$225,0	00 plus 5% of the exce	ss over \$1,500,000.			
Over \$17,000,000	\$1,000	,000.				
 g Grassroots nontaxable amount (ent h Subtract line 1g from line 1a. If zero i Subtract line 1f from line 1c. If zero j If there is an amount other than zero reporting section 4911 tax for this y 	or less, enter -0- or less, enter -0- o on either line 1h or				Yes No	
(Some organizations th	at made a section 5	eraging Period Under 501(h) election do not rate instructions for li	have to complete all o	f the five columns b	elow.	
	Lobbying Expe	enditures During 4-Ye	ar Averaging Period			
Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) Total	
2a Lobbying nontaxable amount b Lobbying ceiling amount						
(150% of line 2a, column(e))						
c Total lobbying expenditures						
d Grassroots nontaxable amount						
e Grassroots ceiling amount (150% of line 2d, column (e))						
f Grassroots lobbying expenditures						

Schedule C (Form 990) 2021

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

or each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description	(8	a)	(b)
f the lobbying activity.	Yes	No	Am	ount
During the year, did the filing organization attempt to influence foreign, national, state, or				
local legislation, including any attempt to influence public opinion on a legislative matter				
or referendum, through the use of:				
a Volunteers?		Х		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		х		
c Media advertisements?		Х		
d Mailings to members, legislators, or the public?		Х		
e Publications, or published or broadcast statements?		Х		
f Grants to other organizations for lobbying purposes?		Х		
g Direct contact with legislators, their staffs, government officials, or a legislative body?				69,72
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		Х		
i Other activities?		Х		
j Total. Add lines 1c through 1i				69,72
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Х		
b If "Yes," enter the amount of any tax incurred under section 4912				
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	F04/a\//	<u> </u>	ation	
Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(6)	on 501(c)(o), or se	ction	
501(c)(6).				
			Yes	No
		l .		
, , , , , , , , , , , , , , , , , , , ,				-
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered	the prior year on 501(c)(2 ? 3 5), or se		3, is
 Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." 	the prior year on 501(c)(s I "No" OR	2 ? 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members	the prior year on 501(c)(t I "No" OR	2 ? 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenditures)	the prior year on 501(c)(t I "No" OR	2 ? 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	the prior year on 501(c)(t I "No" OR	2 7 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year	the prior year on 501(c)(t I "No" OR tical	2 7 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year	the prior year on 501(c)(t I "No" OR tical	2 3 5), or se (b) Part		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of police expenses for which the section 527(f) tax was paid). Current year Carryover from last year Carryover from last year	the prior year on 501(c)(t I "No" OR tical	2 3 55), or se (b) Part 2a 2b 2c		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year	the prior year on 501 (c)(t I "No" OR tical	2 3 55), or se (b) Part 2a 2b 2c		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Current year Carryover from last year	the prior year on 501 (c) (t I "No" OR tical	2 3 55), or se (b) Part 2a 2b 2c		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the expense of the organization agree to carryover to the reasonable estimate of nondeductible lobbying and	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2 2a 2b 2c 3		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the expenditure next year?	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2a 2b 2c 3		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the expenditure next year? Taxable amount of lobbying and political expenditures. See instructions	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2 2a 2b 2c 3		3, is
Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the expenditure next year? Taxable amount of lobbying and political expenditures. See instructions Supplemental Information	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2a 2b 2c 3	III-A, line	3, is
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Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the expenditure next year? Taxable amount of lobbying and political expenditures. See instructions	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2a 2b 2c 3	III-A, line	3, is
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Did the organization make only in-house lobbying expenditures of \$2,000 or less? Did the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political campaign activity expenditures from the organization agree to carry over lobbying and political expenditures and 2, are answered answere	the prior year on 501 (c) (t I "No" OR tical	2 3 5), or se (b) Part 2a 2b 2c 3	III-A, line	3, is
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Schedule C (Form 990) 2021

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Inspection

Name of the organization

CARNEGIE INSTITUTION OF WASHINGTON

Employer identification number 53-0196523

Pa	organizations Maintaining Donor Advised organization answered "Yes" on Form 990, Part IV, line		Similar Funds	or Accounts	Complete if t	he
	, , , , , , , , , , , , , , , , , , ,	(a) Donor advis	sed funds	(b) Funds	and other acco	unts
1	Total number at end of year					
2	Aggregate value of contributions to (during year)					
3	Aggregate value of grants from (during year)					
4	Aggregate value at end of year					
5	Did the organization inform all donors and donor advisors in w	riting that the assets h	neld in donor advise	ed funds		
	are the organization's property, subject to the organization's e	exclusive legal control?			Yes	No
6	Did the organization inform all grantees, donors, and donor ad	lvisors in writing that g	rant funds can be	used only		
	for charitable purposes and not for the benefit of the donor or	donor advisor, or for a	any other purpose o	conferring		
D -	impermissible private benefit?				Yes	No
Pai				Part IV, line 7.		
1	Purpose(s) of conservation easements held by the organization					
	Preservation of land for public use (for example, recreati	ion or education)		-	nportant land are	a
	Protection of natural habitat	L	Preservation of	a certified histo	oric structure	
	Preservation of open space					
2	Complete lines 2a through 2d if the organization held a qualifie	ed conservation contri	bution in the form			
	day of the tax year.				eld at the End of t	ne lax year
а						
b	• • • • • • • • • • • • • • • • • • • •					
С	Number of conservation easements on a certified historic stru					
d	Number of conservation easements included in (c) acquired af	·				
_	listed in the National Register					
3	Number of conservation easements modified, transferred, rele	eased, extinguished, or	terminated by the	organization di	iring the tax	
	year					
4	Number of states where property subject to conservation ease	-				
5	Does the organization have a written policy regarding the period	• • •			Yes	No
6	violations, and enforcement of the conservation easements it Staff and volunteer hours devoted to monitoring, inspecting, h		and onforcing cons			
U	Starr and volunteer riours devoted to morntoning, inspecting, in	ianuling of violations, a	and emoreing cons	ervation easem	ents during the y	Cai
7	Amount of expenses incurred in monitoring, inspecting, handli	ing of violations, and e	nforcing conservat	ion easements	during the year	
'	\$	ing or violations, and e	inorcing conservat	ion easements	during the year	
8	Does each conservation easement reported on line 2(d) above	satisfy the requiremen	nts of section 170()	n)(4)(B)(i)		
Ū	and section 170(h)(4)(B)(ii)?	•	•	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Yes	No
9	In Part XIII, describe how the organization reports conservatio					
_	balance sheet, and include, if applicable, the text of the footnot		•		oes the	
	organization's accounting for conservation easements.	3				
Pai	rt III Organizations Maintaining Collections of	Art, Historical Tr	easures, or Ot	her Similar <i>i</i>	Assets.	
	Complete if the organization answered "Yes" on Form	990, Part IV, line 8.				
1a	If the organization elected, as permitted under FASB ASC 958	3, not to report in its re	venue statement a	nd balance she	et works	
	of art, historical treasures, or other similar assets held for publ	lic exhibition, educatio	n, or research in fu	rtherance of pu	blic	
	service, provide in Part XIII the text of the footnote to its finance	cial statements that de	scribes these item	S.		
b	If the organization elected, as permitted under FASB ASC 958	3, to report in its reveni	ue statement and b	alance sheet w	orks of	
	art, historical treasures, or other similar assets held for public	exhibition, education,	or research in furth	erance of publi	c service,	
	provide the following amounts relating to these items:					
	(i) Revenue included on Form 990, Part VIII, line 1			> \$		0.
						174,303.
2	If the organization received or held works of art, historical trea					
	the following amounts required to be reported under FASB AS	SC 958 relating to thes	e items:			
а	Revenue included on Form 990, Part VIII, line 1			> \$		
	Assets included in Form 990, Part X					
LHA	For Paperwork Reduction Act Notice, see the Instructions	for Form 990.		S	chedule D (Forn	n 990) 2021

Pai	Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)								
3	Using the organization's acquisition, accessi	on, and other record	s, check any of the f	ollowing that mak	e signi	ificant use	of its		
	collection items (check all that apply):								
а	X Public exhibition	c	Loan or exc	hange program					
b	X Scholarly research	e	Other						
С	X Preservation for future generations								
4	Provide a description of the organization's co	ollections and explain	n how they further th	e organization's e	xempt	t purpose	in Part	XIII.	
5	During the year, did the organization solicit of	r receive donations	of art, historical treas	sures, or other sim	ilar as	sets		_	
	to be sold to raise funds rather than to be maintained as part of the organization's collection? Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or								
Pa			ete if the organizatio	n answered "Yes"	on Fo	rm 990, F	Part IV, I	ine 9, or	
	reported an amount on Form 990, Pa								
1a	Is the organization an agent, trustee, custodi		,				_	7	
	on Form 990, Part X?						L	⊻ Yes	No
b	If "Yes," explain the arrangement in Part XIII	and complete the fol	llowing table:					A	
	Amount								
	Beginning balance					1c			
	Additions during the year					1d			
_	Distributions during the year					1e			
t O-	Ending balance					1f		Yes	
	If "Yes," explain the arrangement in Part XIII.				-			_	No
Pai									
	Complete	(a) Current year	(b) Prior year	(c) Two years bac		Three yea	rs back	(e) Four y	ears back
1a	Beginning of year balance	1,012,501,278.				830,826			00,206.
	Contributions	237,117.	· · ·		_	729		11,703.	
	Net investment earnings, gains, and losses	-116,560,305.	,						43,613.
	Grants or scholarships	, ,	143,799.	14,05	_	1,500			91,722.
	Other expenditures for facilities		,	,			•	,	
•	and programs	47,013,544.	50,713,069.	48,016,41	2.	44,847	,217.	44,9	63,820.
f	Administrative expenses	3,755,000.			_	1,992			73,357.
g	End of year balance	845,409,546.	1,012,501,278.			809,799			26,623.
2	Provide the estimated percentage of the curr	rent year end balance	e (line 1g, column (a)) held as:					
а	Board designated or quasi-endowment	17.6800	%	•					
b	Permanent endowment 6.8900	%	_						
С	Term endowment > 75.4300	%							
	The percentages on lines 2a, 2b, and 2c sho	uld equal 100%.							
За	Are there endowment funds not in the posse	ssion of the organiza	ation that are held ar	nd administered fo	r the c	organizatio	on		
	by:							Y	es No
	(i) Unrelated organizations							3a(i)	х
	(ii) Related organizations							3a(ii)	Х
b	If "Yes" on line 3a(ii), are the related organiza	tions listed as requir	red on Schedule R?					3b	
4	Describe in Part XIII the intended uses of the		wment funds.						
Pa	t VI Land, Buildings, and Equipm								
	Complete if the organization answere								
	Description of property	(a) Cost or o			•	umulated		(d) Book	value
		basis (investr	nent) basis	` '	depre	eciation	_		
	Land		0.0	579,366.	4.0		,		79,366.
	Buildings		88	,546,403.	42	,654,24	0.	45,8	92,155.
	Leasehold improvements	I	170	860 000	1 2 2	971 41	7	510	0.
	Equipment			,860,009. ,196,834.	143	,971,41	· · ·		88,592. 96,834.
	Other	*	•						56,947.
TOTA	. Add lines 1a through 1e. (Column (d) must e	guai Form 990, Part	x, column (B), line 10	JC.J			hadula		990) 2021

ichedule D	(Form 990) 2021	CARNEGIE INSTIT	ITUTION OF WASHINGTON	53-0196523	Page •
Part VII	Investments	- Other Securities.			

Tant III		
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11b. See Form 990, Part X, line 12.
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A) ALTERNATIVE INVESTMENTS	211,212,965.	END-OF-YEAR MARKET VALUE
(B) REAL ASSETS/NATURAL RESOURCES	152,627,908.	END-OF-YEAR MARKET VALUE
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	363,840,873.	
Part VIII Investments - Program Related.		
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11c. See Form 990, Part X, line 13.
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		

(5) (6) (7) (8) (9)

Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶
Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1.	(a) Description of liability	(b) Book value
(1)	Federal income taxes	
(2)	ACCRUED POST-RETIREMENT BENEFIT	24,106,559.
(3)	TAXABLE BONDS	148,884,786.
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total.	(Column (b) must equal Form 990, Part X, col. (B) line 25.)	172,991,345.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

Schedule D (Form 990) 2021

	12a.		1	-46,435,291.
2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:			•	,,
a Net unrealized gains (losses) on investments	2a	-215,813,798.		
b Donated services and use of facilities				
c Recoveries of prior year grants				
	1	8,248,738.		
d Other (Describe in Part XIII.) e Add lines 2a through 2d			2e	-207,565,060.
3 Subtract line 2e from line 1			3	161,129,769.
4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:				, , ,
a Investment expenses not included on Form 990, Part VIII, line 7b	4a	4,443,426.		
b Other (Describe in Part XIII.)		-828,423.		
c Add lines 4a and 4b		•	4c	3,615,003.
5 Total revenue. Add lines 3 and 4c. (This must equal Form 990. Part I. line 12.)			5	164,744,772.
Part XII Reconciliation of Expenses per Audited Financial Stat	ements With	Expenses per F		
Complete if the organization answered "Yes" on Form 990, Part IV, line	12a.			
Total expenses and losses per audited financial statements			1	94,802,815.
2 Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a Donated services and use of facilities	2a			
b Prior year adjustments				
c Other losses	1 _ 1			
d Other (Describe in Part XIII.)				
e Add lines 2a through 2d			2e	0.
3 Subtract line 2e from line 1			3	94,802,815.
4 Amounts included on Form 990, Part IX, line 25, but not on line 1:			_	
a Investment expenses not included on Form 990, Part VIII, line 7b	4a	4,443,426.		
b Other (Describe in Part XIII.)		-828,423.		
c Add lines 4a and 4b			4c	3,615,003.
5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)			5	98,417,818.
Part XIII Supplemental Information.				· · ·
Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4;	Part IV lines 1b a	and 2h: Part V line 4	· Part X	line 2· Part XI
lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any			, ,	=,,
integration in the part to provide any	additional inform	acion.		
PART III, LINE 4:				
·				
ORGANIZATION'S COLLECTIONS				
THE COLLECTION OF THE CARNEGIE INSTITUTION OF WASHINGTON IS PR	EDOMINANTLY			
THE COLLECTION OF THE CARNEGIE INSTITUTION OF WASHINGTON IS PR	EDOMINANTLY			
THE COLLECTION OF THE CARNEGIE INSTITUTION OF WASHINGTON IS PR				
	IFIC			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT	IFIC			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT	IFIC AND			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS U	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USECULARLY REFERENCE AND STUDY OF THE INSTITUTION'S PAST SCIENT	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS U	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USENDED.	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USCHOLARLY REFERENCE AND STUDY OF THE INSTITUTION'S PAST SCIENT	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USCHOLARLY REFERENCE AND STUDY OF THE INSTITUTION'S PAST SCIENT DISCOVERIES AS WELL AS FOR EDUCATION OF THE GENERAL PUBLIC.	IFIC AND SED FOR			
COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USENDED.	IFIC AND SED FOR			
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COMPOSED OF HISTORICAL ARTIFACTS RELATED TO ITS WORK IN SCIENT RESEARCH. THIS INCLUDES SCIENTIFIC SAMPLES, MATERIALS, DEVICES INSTRUMENTATION, AND PRINTED PUBLICATIONS. THE COLLECTION IS USCHOLARLY REFERENCE AND STUDY OF THE INSTITUTION'S PAST SCIENT DISCOVERIES AS WELL AS FOR EDUCATION OF THE GENERAL PUBLIC.	IFIC AND SED FOR			

SCHEDULE E

(Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

Schools

Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Inspection

2021
Open to Public

Employer identification number 53-0196523

CARNEGIE INSTITUTION OF WASHINGTON

Part I YES NO Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? X 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, X catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? 2 Has the organization publicized its racially nondiscriminatory policy on its primary publicly accessible Internet homepage at all times during its taxable year in a manner reasonably expected to be noticed by visitors to the homepage, or through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general X community it serves? If "Yes," please describe. If "No," please explain. If you need more space, use Part II 3 OUR NON-DISCRIMINATION POLICY IS AVAILABLE ON OUR WEBSITE WWW.CARNEGIESCIENCE.EDU, UNDER ANTI-DISCRIMINATION POLICY. Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? 4a **b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? Х 4b c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing Х with student admissions, programs, and scholarships? 4c X d Copies of all material used by the organization or on its behalf to solicit contributions? 4d If you answered "No" to any of the above, please explain. If you need more space, use Part II. Does the organization discriminate by race in any way with respect to: Х a Students' rights or privileges? Х **b** Admissions policies? 5b Employment of faculty or administrative staff? Х Х d Scholarships or other financial assistance? 5d Х Educational policies? Х f Use of facilities? 5f х g Athletic programs? 5a Х Other extracurricular activities? If you answered "Yes" to any of the above, please explain. If you need more space, use Part II. Х **6a** Does the organization receive any financial aid or assistance from a governmental agency? **b** Has the organization's right to such aid ever been revoked or suspended? Х If you answered "Yes" on either line 6a or line 6b, explain on Part II. Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule E (Form 990) 2021

Schedule E (Form 990) 2021

SCHEDULE F (Form 990)

Department of the Treasury

Statement of Activities Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Attach to Form 990.

Open to Public

▶ Go to www.irs.gov/Form990 for instructions and the latest information. Internal Revenue Service

Inspection

OMB No. 1545-0047

Name of the organization **Employer identification number** CARNEGIE INSTITUTION OF WASHINGTON 53-0196523 General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b. 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) (e) If activity listed in (d) (b) Number of (c) Number of (d) Activities conducted in the region (f) Total (a) Region employees, (by type) (such as, fundraising, proexpenditures offices is a program service, agents, and for and in the region gram services, investments, grants to describe specific type independent investments contractors recipients located in the region) of service(s) in the region in the region in the region CENTRAL AMERICA AND THE CARIBBEAN 0 0 INVESTMENTS \$08,497,949. NORTH AMERICA 0 0 INVESTMENTS 15,363,935. EUROPE (INCLUDING ICELAND & GREENLAND) 0 0 INVESTMENTS 4,528,517. TELESCOPE OPERATIONS (OBS) 0 PROGRAM SERVICES SOUTH AMERICA 0 7,145,877. GRANT-FUNDED RESEARCH SOUTH AMERICA 0 0 PROGRAM SERVICES PROJECTS 82,631. 97,742. SOUTH AMERICA 0 0 PROGRAM SERVICES FIELDWORK SALARIES PAID TO OBS SOUTH AMERICA 0 0 PROGRAM SERVICES EMPLOYEES 587,256. EAST ASIA AND THE PACTETO 0 0 PROGRAM SERVICES MEETINGS/CONFERENCES 5,122. 0 0 36,309,029. 3 a Subtotal **b** Total from continuation 0 45,551. 0 sheets to Part I Totals (add lines 3a 336,354,580. and 3b)

132071 12-20-21

Schedule F (Form 990) 2021

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

	CARNEGIE INS		WASHINGTON - (Schedule F (Form 990), Part I, line 3	53-0196523	Page 1
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND)	0	0	PROGRAM SERVICES	MEETINGS/CONFERENCES	35,450.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	FIELDWORK	6,797.
NORTH AMERICA	0	0	PROGRAM SERVICES	MEETINGS/CONFERENCES	3,304.
					45 551
Totals					45,551.

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States.	Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any
recipient who received more than \$5,000. Part II can be duplicated if additional space is n	needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
	2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter							

3 Enter total number of other organizations or entities

	Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.						
(a) Type of grant or assistan		(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part	IV	Foreign Forms
1	Wa	s the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes,
	the	organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign
	Cor	poration (see Instructions for Form 926)
^	D:-I	the consciention have an interest in a femine twent during the terrors. Our many many

X	Yes	No

2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may
	be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and
	Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a
	U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)

Vac [X No

Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)

X	Yes	No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)

Х	Yes	No

Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)

Х	Yes	No

Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)

Yes	Х	No

Schedule F (Form 990) 2021

CARNEGIE INSTITUTION OF WASHINGTON

Part V	Supplemental Information
	Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of
	investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c)
	(estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.
_	

SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

Name o	f the organization							Employer identification number
	CARNEGIE INST	ITUTION OF WAS	SHINGTON					53-0196523
Part I	General Information on Grants a	nd Assistance						
1 D	oes the organization maintain records	to substantiate the	amount of the grants	or assistance, the	grantees' eligibility	for the grants or assi	stance, and the selecti	
	iteria used to award the grants or assis							X Yes No
	escribe in Part IV the organization's pro							
Part II	Grants and Other Assistance to recipient that received more than					anization answered "\	es" on Form 990, Part	: IV, line 21, for any
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
2 Er	nter total number of section 501(c)(3) a	nd government are	ranizations listed is th	o lino 1 toblo	l		1	
	nter total number of other organization	-		e iii le i table				······· <u> </u>
	or Paperwork Reduction Act Notice							Schedule I (Form 990) 2021

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.		_			
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non- cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
FELLOWSHIP	21	455,826.	0.		
Part IV Supplemental Information. Provide the information rec	uired in Part I, lin	e 2; Part III, column	(b); and any other ac	dditional information.	
PART I, LINE 2:					
PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS &	OTHER ASSIST	ANCE IN US			
AS A RESEARCH INSTITUTION, CARNEGIE PROVIDES FELLO	WS WITH THE C	PPORTUNITY			
TO PURSUE INDEPENDENT RESEARCH AND THE TRAINING TO	ACQUIRE THE	ADDITIONAL			
SKILLS AND EXPERTISE NEEDED TO BECOME A SUCCESSFUL	SCIENTIST. C	CARNEGIE			
MONITORS THE FELLOWSHIP EXPERIENCE TO ACHIEVE BOTH	OBJECTIVES.	FELLOWS			
PURSUE THEIR RESEARCH PROGRAM RELATIVELY INDEPENDE	NTLY BUT HAVE	FULL ACCESS			
TO CARNEGIE FACILITIES AND STAFF MEMBERS AND TYPIC.	ALLY PERFORM	THEIR			
EXPERIMENTS AND SCIENTIFIC WORK ON-SITE AND IN COL	LABORATION WI	TH OTHER			

Schedule I (Form 990) CARNEGIE INSTITUTION OF WASHINGTON Part IV Supplemental Information	53-0196523	Page 2
CARNEGIE SCIENTISTS. FELLOWS IN THE BIOLOGICAL SCIENCES APPLY TO CARNEGIE		
MAINLY TO LEARN THE RESEARCH TECHNIQUES AND RESEARCH AREAS OF A PARTICULAR		
CARNEGIE STAFF SCIENTIST, THAT IS, TO WORK IN THAT PRINCIPAL INVESTIGATOR'S		
(PI) LAB. LABS ROUTINELY ENGAGE A NUMBER OF DIFFERENT PEOPLE, INCLUDING A		
PI, TECHNICIANS, POSTDOCTORAL SCIENTISTS, AND RESEARCHERS. THE PI MONITORS		
THE WORK OF THE FELLOW ON AN ONGOING BASIS, CRITIQUES THE FELLOWS'		
RESEARCH, MAKES SUGGESTIONS FOR AVENUES TO EXPLORE, AND PROVIDES ONGOING		
MENTORING. FELLOWS IN THE PHYSICAL SCIENCES TYPICALLY COLLABORATE WITH A		
SENIOR STAFF MEMBER ON RESEARCH PROJECTS. THIS PROVIDES AN ONGOING VEHICLE		
FOR MONITORING AND SUPPORTING THE WORK OF THE FELLOW. FELLOWS ARE ASKED TO		
PRESENT AND DEFEND THEIR RESEARCH TO OTHER SCIENTISTS BOTH AT CARNEGIE AND		
AT OTHER INSTITUTIONS. THIS PROCESS HELPS TO MONITOR THE FELLOWS'		
SCIENTIFIC PROGRESS AND PERMITS ADJUSTMENTS AS NECESSARY. CARNEGIE'S		
VARIOUS ADMINISTRATIVE AND RESEARCH POLICIES APPLY TO FELLOWS. IN		
CARNEGIE'S STRUCTURE, DEPARTMENT DIRECTORS ARE RESPONSIBLE FOR ASSURING		
THAT FELLOWS FOLLOW THESE PROCEDURES AND CARRY OUT THE RESEARCH SUPPORTED		
THROUGH EXTERNALLY OR INTERNALLY-FUNDED FELLOWSHIPS.		
TO ENSURE SPONSORED PROGRAM DOLLARS ARE APPROPRIATELY USED, AND IN		
ACCORDANCE WITH APPLICABLE REGULATIONS, THE INSTITUTION APPLIES RIGOROUS		
FINANCIAL AND PROGRAMMATIC MONITORING. CARNEGIE CONDUCTS REGULAR ACCOUNT		
RECONCILIATIONS, SUBRECIPIENT MONITORING; WHEREIN SUBRECIPIENT		
ORGANIZATIONS ARE REQUIRED TO SUBMIT FINANCIAL AND TECHNICAL REPORTS.		
REPORTS AND INVOICES ARE REVIEWED FOR ACCURACY, AND COMPLIANCE WITH		
APPLICABLE TERMS AND CONDITIONS INCLUDING OMB 2 CFR 200 ADMINISTRATIVE		
REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS.		

Schedule I (Form 990)

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
 ► Attach to Form 990.
 ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public

OMB No. 1545-0047

Inspection

Internal Revenue Service Name of the organization

Department of the Treasury

CARNEGIE INSTITUTION OF WASHINGTON

Employer identification number 53-0196523

Pa	art I Questions Regarding Compensation			
			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	X First-class or charter travel X Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	Х	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2	Х	
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	X Compensation committee			
	X Independent compensation consultant X Compensation survey or study			
	Form 990 of other organizations X Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing			
	organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a		Х
b	Participate in or receive payment from a supplemental nonqualified retirement plan?	4b	Х	<u> </u>
С	Participate in or receive payment from an equity-based compensation arrangement?	4c		Х
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the revenues of:			
	The organization?	5a	Х	
b	Any related organization?	5b		Х
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the net earnings of:			v
	The organization?	6a		X
b	Any related organization?	6b		Х
_	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments		v	
_	not described on lines 5 and 6? If "Yes," describe in Part III	7	Х	
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			
_	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53.4958-6(c)?	9		1

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2021

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of W	J-2 and/or 1099-MIS0 compensation	C and/or 1099-NEC	(C) Retirement and other deferred	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B)
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation			reported as deferred on prior Form 990
(1) MICHAEL STAMBAUGH	(i)	522,548.	462,656.	414.	48,459.	3,431.	1,037,508.	0.
CHIEF INVESTMENT OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) DR. ERIC D. ISAACS	(i)	661,197.	100,000.	20,738.	133,000.	29,360.	944,295.	0.
PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) EDWARD LAMADE	(i)	319,568.	75,000.	188.	43,889.	28,789.	467,434.	0.
INVESTMENT DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) JOHN S. MULCHAEY	(i)	392,183.	0.	414.	49,532.	11,004.	453,133.	0.
DIVISION DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) RICHARD W. CARLSON	(i)	316,517.	0.	1,219.	58,000.	10,332.	386,068.	0.
DIVISION DIRECTOR (THRU 12/21)	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) BENJAMIN J. ADERSON	(i)	295,703.	0.	19,680.	41,093.	24,326.	380,802.	0.
GENERAL COUNSEL & SECRETARY	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) ANN MCELWAIN	(i)	291,030.	15,000.	431.	52,874.	21,059.	380,394.	0.
CHIEF DEVELOPMENT OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) YIXIAN ZHENG	(i)	300,067.	0.	17,532.	52,084.	340.	370,023.	0.
DIVISION DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) ANNA M. MICHALAK	(i)	299,870.	0.	19,534.	43,834.	340.	363,578.	0.
DEPARTMENT DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(10) MICHAEL WALTER	(i)	277,111.	0.	1,188.	52,656.	27,296.	358,251.	0.
DIVISION DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
(11) SALLY TRACY	(i)	128,005.	0.	182,788.	16,633.	20,933.	348,359.	0.
SCIENTIFIC STAFF MEMBER	(ii)	0.	0.	0.	0.	0.	0.	0.
(12) MICHAEL MCCARTHY	(i)	257,690.	25,000.	259.	28,952.	15,295.	327,196.	0.
CHIEF OPERATING OFFICER (THRU 07/22)	(ii)	0.	0.	0.	0.	0.	0.	0.
(13) REBECCA BERNSTEIN	(i)	266,960.	0.	414.	43,860.	11,004.	322,238.	0.
SCIENTIFIC STAFF MEMBER	(ii)	0.	0.	0.	0.	0.	0.	0.
(14) SEUNG YON RHEE	(i)	227,067.	0.	19,914.	41,461.	11,364.	299,806.	0.
SENIOR STAFF SCIENTIST	(ii)	0.	0.	0.	0.	0.	0.	0.
(15) GEORGE D. CODY	(i)	222,514.	0.	1,188.	44,312.	30,508.	298,522.	0.
SCIENTIFIC STAFF MEMBER	(ii)	0.	0.	0.	0.	0.	0.	0.
(16) ZHIYONG WANG	(i)	230,861.	0.	6,990.	42,354.	10,449.	290,654.	0.
ACTING DIRECTOR & SNR STF SCI	(ii)	0.	0.	0.	0.	0.	0.	0.

Part III	Supplemental	Information
1 41 (111	Ouppicificitia	minomination

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

FIRST-CLASS TRAVEL

COACH OR ECONOMY CLASS TRAVEL IS THE STANDARD FOR ALL BUSINESS TRAVEL;

HOWEVER. THERE ARE CIRCUMSTANCES WHERE BUSINESS CLASS OR PREMIUM CLASS

TRAVEL IS PERMITTED ONLY WITH PRE-APPROVAL. THE ORGANIZATION FOLLOWS ITS

ACCOUNTABLE PLAN FOR ALL BUSINESS TRAVEL.

HOUSING ALLOWANCE

CARNEGIE'S POLICIES INCLUDE A PROVISION FOR HOUSING SUBSIDIES IN HIGH-COST

AREAS FOR QUALIFYING STAFF MEMBERS. SIX STAFF MEMBERS QUALIFY FOR THE

HOUSING ALLOWANCE. THESE BENEFITS ARE TREATED AS TAXABLE COMPENSATION AND

INCLUDED ON THE STAFF MEMBER'S FORM W-2.

TRAVEL FOR COMPANIONS

TRAVEL FOR COMPANIONS WAS ALLOWED PURSUANT TO POLICY AND PAID FOR MR.

ISAAC'S SPOUSE. THIS BENEFIT IS INCLUDED WITHIN SCHEDULE J. PART II. COLUMN

B(III).

PART I, LINE 4B:

Schedule J (Form 990) 2021

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN

PER APPROVAL OF THE BOARD AND HIS EMPLOYMENT AGREEMENT, DR. ERIC D. ISAACS

ACCRUED \$75,000 IN DEFERRED COMPENSATION DURING THE YEAR.

PART I, LINE 5:

CONTINGENT COMPENSATION

CARNEGIE PAID COMPENSATION UPON AND DETERMINED IN PART BY THE REVENUES OF

THE ORGANIZATION TO MICHAEL STAMBAUGH, EDWARD LAMADE AND ANN MCELWAIN.

CONTINGENT COMPENSATION IS REPORTED IN COLUMN B(II) OF SCHEDULE J. PART II.

PART I, LINE 7:

NON-FIXED PAYMENTS

THE ORGANIZATION ESTABLISHES ANNUAL GOALS AND METRICS FOR ITS EMPLOYEES AND

EXECUTIVES. BASED ON ATTAINMENT OF THESE GOALS AND METRICS. CERTAIN

EMPLOYEES MAY BE AWARDED A PERFORMANCE BONUS. ALL BONUSES ARE CONSIDERED AS

PART OF TOTAL COMPENSATION FOR REASONABLENESS.

SCHEDULE L

Department of the Treasury

(Form 990)

Transactions With Interested Persons

► Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open To Public Inspection

Internal Revenue Service	► Go t	o www.irs.gov/Fo	rm99	0 for i	nstructio	ns and the	latest information.			In	spect	ion	
Name of the organization								Em	oloyer	ident	ificati	on nu	mber
		STITUTION OF W.								6523			
Part I Excess Ben	efit Transac	tions (section 50	01(c)(3), sect	ion 501(c)(4), and sec	ction 501(c)(29) organ	nizatio	ns on	ly).			
Complete if the	organization ar	swered "Yes" on F	orm 9	90, Pa	art IV, line	25a or 25b	, or Form 990-EZ, Pa	art V, I	ne 40	b.			
1 (a) Name of disqualified	person (b) Relationship bety		•	lified	le	c) Description of trans	sactio	n		(d)	Corre	cted?
— (a) Hame of allequalities		person and or	ganiza	ation			.,				<u> </u>	es	No
											+		
											+		
											+	<u> </u>	
2 Enter the amount of tax	k incurred by the	organization man	agers	or disc	qualified p	ersons duri	ng the year under						
section 4958									> \$				
3 Enter the amount of tax	k, if any, on line 2	2, above, reimburs	ed by	the or	ganizatio	ı			> \$				
De III I de la compansión de la compansi													
		nterested Pers											
•	•				, Part V, I	ine 38a or F	orm 990, Part IV, line	e 26; d	or if th	e orga	nizatio	on	
reported an am	(b) Relationsh	90, Part X, line 5, 6		an to or	(a) (Original	(f) Dalamaa duu	(~)	. In	(h) Ap	proved	/:x \A	/ritten
interested person	with organizati		fror	n the ization?	(6)	al amount	(f) Balance due	defa	In ult?	by bo	ard or	1 (1) *	ment?
·			To	From	† · · · ·			Yes	No	Yes	No	Yes	1
SEUNG YON RHEE	DEPT DIR	MORTGAGE	10	Х		761,694.	196,569.	100	Х	Х	110	Х	110
													<u> </u>
													<u> </u>
													-
	_	_											-
													-
Total					1	> \$	196,569.						
	ssistance B	enefiting Inter	este	d Per	sons.	У Ф							
		swered "Yes" on F				27.							
(a) Name of interested	d person	(b) Relationship	betwe	en	(c)	Amount of	(d) Type	of		(e) Purp	ose o	f
		interested pers	on an		as	sistance	assistan	ce			assist	ance	
		the organiza	ation										
									-+				
									-+				
									-+				

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990) 2021

	pplemental Information. vide additional information for response	person and the organization	nstructions).	transaction	(e) Shai organizareveni Yes	Ne Comment
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		nonses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
_		onses to questions on Schedule L (see i	nstructions).			
Pro	vide additional information for respi	onses to questions on Schedule L (see I	nstructions).			
						_

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization Employer identification number CARNEGIE INSTITUTION OF WASHINGTON 53-0196523

Pai	rt I Types of Property				•				
		(a) Check if applicable	(b) Number of contributions or litems contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of de noncash contribu		•	s	
1	Art - Works of art		TESTIO CONTINUATOR	Tomi coo, i air viii, iiio ig					
2	Art - Historical treasures								
3	Art - Fractional interests								
4	Books and publications								
5	Clothing and household goods								
6	Cars and other vehicles								
7	Boats and planes								
8	Intellectual property								
9	Securities - Publicly traded	Х	9	794,600.	FMV				
10	Securities - Closely held stock			,					
11	Securities - Partnership, LLC, or								
	trust interests								
12	Securities - Miscellaneous								
13	Qualified conservation contribution -								
	Historic structures								
14	Qualified conservation contribution - Other								
15	Real estate - Residential								
16									
17									
18									
19	Food inventory								
20	Drugs and medical supplies								
21	Taxidermy								
22	Historical artifacts								
23	Scientific specimens								
24	Archeological artifacts								
25	Other								
26	Other								
27	Other								
28	Other (
29	Number of Forms 8283 received by the organization	zation during	g the tax year for co	ontributions					
	for which the organization completed Form 82	83, Part V, D	onee Acknowledg	ement 29			0		
							Yes	No	
30a	During the year, did the organization receive by	y contributio	n any property rep	orted in Part I, lines 1 throug	gh 28, that it				
	must hold for at least three years from the date		l contribution, and	which isn't required to be u	sed for				
	exempt purposes for the entire holding period	?				30a		X	
	If "Yes," describe the arrangement in Part II.								
31	Does the organization have a gift acceptance				tions?	31	Х		
32a	Does the organization hire or use third parties		_	· · ·					
	contributions?					32a		Х	
	If "Yes," describe in Part II.		_						
33	If the organization didn't report an amount in c	olumn (c) fo	r a type of property	tor which column (a) is che	cked,				
	describe in Part II.								

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) 2021

132142 11-17-21 Schedule M (Form 990) 2021

SCHEDULE 0 (Form 990)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or Form 990-EZ. ▶ Go to www.irs.gov/Form990 for the latest information. OMB No. 1545-0047 Inspection

Name of the organization

CARNEGIE INSTITUTION OF WASHINGTON

Employer identification number

53-0196523 PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: THE CONDUCT OF SCIENTIFIC DISCOVERY, RESEARCH AND EDUCATION IN THE FIELDS OF PLANT BIOLOGY DEVELOPMENTAL BIOLOGY EARTH AND PLANETARY SCIENCES ASTRONOMY AND GLOBAL ECOLOGY. FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION: THE MISSION OF THE CARNEGIE INSTITUTION OF WASHINGTON, AS SET FORTH IN ITS ARTICLES OF INCORPORATION UNDER AN ACT OF CONGRESS IN 1904, ENCOURAGE, IN THE BROADEST AND MOST LIBERAL MANNER, INVESTIGATION RESEARCH, AND DISCOVERY, AND THE APPLICATION OF KNOWLEDGE TO THE IMPROVEMENT OF MANKIND." FORM 990. PART III. LINE 4A. PROGRAM SERVICE ACCOMPLISHMENTS: PROGRAM SERVICE ACTIVITY #1 CARNEGIE IS A WORLD-RENOWNED CENTER FOR PETROLOGY-THE STUDY OF ROCKS. IT IS ALSO A GLOBAL LEADER IN HIGH-PRESSURE AND HIGH-TEMPERATURE PHYSICS, WHICH ENABLES OUR SCIENTISTS TO MAKE SIGNIFICANT CONTRIBUTIONS TO EARTH, PLANETARY AND MATERIAL SCIENCES. CARNEGIE IS LEADING AN INTERNATIONAL, MULTI-INSTITUTION, CROSS-DISCIPLINARY INITIATIVE DEDICATED TO ACHIEVING A TRANSFORMATIONAL UNDERSTANDING OF EARTH'S DEEP CARBON CYCLE, INCLUDING ITS POORLY CONSTRAINED RESERVOIRS AND FLUXES; THE UNKNOWN ROLE OF DEEP BIOLOGY; AND THE UNEXPLORED INFLUENCES OF THE DEEP CARBON CYCLE ON CRITICAL SOCIETAL CONCERNS RELATED TO ENERGY, ENVIRONMENT, AND CLIMATE, CARNEGIE RESEARCHERS ARE CONTRIBUTING TO INTERDISCIPLINARY EFFORTS INVESTIGATING HOW LIFE EVOLVED ON THIS PLANET AND DETERMINING ITS POTENTIAL FOR EXISTING ELSEWHERE. CARNEGIE

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990) 2021

Schedule O (Form 990) 2021 Page **2**

Schedule O (Form 990) 2021	Page 2
Name of the organization CARNEGIE INSTITUTION OF WASHINGTON	Employer identification number 53-0196523
OBSERVATIONAL AND THEORETICAL ASTRONOMERS COLLABORATE TO TRACE THE	
EVOLUTION OF THE UNIVERSE FROM THE SPARK OF THE BIG BANG THROUGH STAR	
AND GALAXY FORMATION; EXPLORE THE STRUCTURE OF THE UNIVERSE; AND PROBE	
THE MYSTERIES OF DARK MATTER, DARK ENERGY, AND THE EVER-ACCELERATING	
RATE AT WHICH THE UNIVERSE IS EXPANDING. UNLIKE MOST IN THEIR FIELD,	
CARNEGIE OBSERVATIONAL ASTRONOMERS DESIGN AND BUILD THEIR OWN	
INSTRUMENTS TO CAPTURE THE SECRETS OF SPACE. CARNEGIE OPERATES	
TELESCOPES IN LAS CAMPANAS, CHILE, AT WHICH MUCH OF OUR ASTRONOMICAL	
RESEARCH IS CONDUCTED. WE ARE FOUNDING PARTNERS IN A CONSORTIUM THAT IS	
WORKING TO DESIGN, CONSTRUCT, AND OPERATE THE GIANT MAGELLAN TELESCOPE,	
ONE OF THE NEW CLASS OF EXTREMELY LARGE TELESCOPES, WHICH WILL BE AMONG	
THE WORLD'S LARGEST GROUND-BASED OPTICAL/INFRARED FACILITIES ONCE IT IS	
COMPLETED. CARNEGIE ALSO INCLUDES INTERDISCIPLINARY TEAMS OF	
ASTRONOMERS AND ASTROPHYSICISTS, GEOPHYSICISTS AND GEOCHEMISTS,	
COSMOCHEMISTS AND PLANETARY SCIENTISTS. THESE GROUPS ARE DISCOVERING	
PLANETS ORBITING DISTANT STARS; PROBING THE FORMATION AND EVOLUTION OF	
EARTH, THE MOON, AND OUR SOLAR SYSTEM; AND STUDYING THE CAUSES OF	
EARTHQUAKES, VOLCANOES, AND OTHER GEOLOGIC PHENOMENA. USING INNOVATIVE	
APPROACHES TO ECOLOGICAL RESEARCH, CARNEGIE SCIENTISTS ARE UNTANGLING	
THE COMPLICATED INTERACTIONS BETWEEN EARTH'S LAND, ATMOSPHERE, AND	
OCEANS TO UNDERSTAND HOW GLOBAL SYSTEMS OPERATE. DEPLOYING A WIDE RANGE	
OF POWERFUL TOOLS-FROM SATELLITES TO MOLECULAR BIOLOGY TECHNIQUES-THESE	
SCIENTISTS EXPLORE ISSUES SUCH AS THE GLOBAL CARBON CYCLE, THE ROLE OF	
LAND AND OCEANIC ECOSYSTEMS, AND OCEAN ACIDIFICATION. OUR ECOLOGISTS	
ALSO PLAY AN ACTIVE ROLE IN THE PUBLIC ARENA, FROM SERVING ON NATIONAL	
PANELS AND COMMITTEES THAT INVESTIGATE CLIMATE CHANGE TO IDENTIFYING	
ENVIRONMENTAL HOT SPOTS FOR CONSERVATION EFFORTS. CARNEGIE BIOLOGISTS	
REVEAL CRUCIAL BIOCHEMICAL PATHWAYS AND THE GENES THAT ENCODE THEIR	

<u>Schedule O (Form 990) 2021</u>

Name of the organization **Employer identification number** CARNEGIE INSTITUTION OF WASHINGTON 53-0196523 FUNCTIONS IN MICROBES, PLANTS, AND ANIMALS. TOGETHER WITH OUR LONGSTANDING EXPERTISE IN MODEL SYSTEM DEVELOPMENT. THIS WORK PROVIDES A FOUNDATION FOR EXPLORING NEW RESEARCH AVENUES THAT DEPLOY CELLULAR AND MOLECULAR APPROACHES TO UNDERSTAND ECOSYSTEM-WIDE AND GLOBAL PHENOMENA RELATED TO CLIMATE CHANGE, INCLUDING CORAL BLEACHING. THEY ALSO STUDY INTERACTIONS BETWEEN THE SPECIES THAT MAKE UP BACTERIAL COMMUNITY ECOSYSTEMS. OUR RESEARCHERS ARE DEMONSTRATING THAT THE INTERSPECIES RELATIONSHIPS IN THE GUT MICROBIOME AFFECT OUR HEALTH FERTILITY. AND LONGEVITY. SIMILAR DYNAMICS ALLOW MICROBIAL MATS TO THRIVE IN HOT SPRINGS; UNDERSTANDING THEM IMPROVES OUR KNOWLEDGE OF HOW LIFE ADAPTS TO EXTREME CONDITIONS. FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS: PROGRAM SERVICE ACTIVITY #2 EDUCATION - CARNEGIE, A NON-DEGREE GRANTING ENTITY, IS AN ADVANCED STUDY ORGANIZATION AT WHICH HIGHLY QUALIFIED GRADUATE SCIENTISTS ARE OFFERED POSTGRADUATE AND POSTDOCTORAL TRAINING FOR CAREERS IN EDUCATION AND RESEARCH. CARNEGIE'S POSTDOCTORAL SCIENTIFIC EDUCATION IS PRIMARILY CONDUCTED IN THE LABORATORY OR IN THE FIELD. THE POSTDOCTORAL CURRICULUM IS HEAVILY WEIGHTED TOWARD TRAINING CANDIDATES TO BE CAPABLE RESEARCHERS AND TEACHERS OF RESEARCH. THE FACULTY CONSISTS OF THE PROFESSIONAL STAFF OF CARNEGIE, ALL OF WHOM PURSUE THEIR OWN RESEARCH. CARNEGIE ALSO OFFERS CERTAIN TRAINING OPPORTUNITIES FOR PREDOCTORAL STUDENTS WHO MAY GO ON TO PURSUE ADVANCED DEGREES AT DEGREE-GRANTING INSTITUTIONS, AS WELL AS INTERNSHIP OPPORTUNITIES, BOTH THROUGH FORMAL PROGRAMS AND ON AN INDIVIDUAL, CASE-BY-CASE BASIS. THE FORMAL PROGRAMS IN PARTICULAR, MAKE AN EFFORT TO PROVIDE RESEARCH OPPORTUNITIES AND ENCOURAGEMENT FOR CONSIDERING STEM CAREERS TO GROUPS WHO ARE

<u>Schedule O (Form 990) 2021</u> Page **2**

Name of the organization **Employer identification number** CARNEGIE INSTITUTION OF WASHINGTON 53-0196523 TRADITIONALLY UNDERREPRESENTED IN MATHEMATICS AND THE PHYSICAL SCIENCES. CARNEGIE PROGRAMS ALSO TRAIN PUBLIC ELEMENTARY SCHOOL TEACHERS ON HOW TO INCORPORATE INTERACTIVE SCIENCE INSTRUCTION INTO ALL ASPECTS OF THE CURRICULUM; HELPS IMPROVE THE INSTRUCTION OF MATHEMATICS EDUCATION OF PUBLIC SCHOOL CHILDREN BY TRAINING INDIVIDUALS WITH MATHEMATICS OR RELATED DEGREES TO BECOME CLASSROOM INSTRUCTORS; INTRODUCES AND TRAINS HIGH SCHOOL STUDENTS TO CAREER EDUCATION PATHWAYS IN THE FIELD OF BIOTECHNOLOGY; AND MAKES INFORMATION AVAILABLE CONCERNING CARNEGIE'S SCIENTIFIC RESEARCH FINDINGS TO STUDENTS AND THE GENERAL PUBLIC. FORM 990, PART VI, SECTION A, LINE 2: RELATIONSHIPS CHRISTOPHER STONE AND JOHN CRAWFORD: BUSINESS RELATIONSHIP FORM 990, PART VI, SECTION A, LINE 3: MANAGEMENT DUTIES EFFECTIVE JUNE 2022. RANDY STRINGER BECAME THE CHIEF FINANCIAL OFFICER-INTERIM FOR CARNEGIE. STRINGER IS PAID THROUGH STANTON BLACKWELL PURSUANT TO A MANAGEMENT SERVICES AGREEMENT WITH CARNEGIE. IN ACCORDANCE WITH STRINGER'S START DATE IN 2022, NO COMPENSATION WAS PAID TO THIS INDIVIDUAL DURING CALENDAR YEAR 2021 FOR SERVICES PROVIDED TO CARNEGIE. FORM 990, PART VI, SECTION B, LINE 11B: FORM 990 REVIEW PROCESS CARNEGIE'S FINANCE DEPARTMENT, SENIOR STAFF AND ITS INDEPENDENT PAID PREPARER, GRANT THORNTON, WORK TOGETHER TO PREPARE AND REVIEW THE FORM 990. THE DRAFT FORM 990 IS THEN DISTRIBUTED TO MEMBERS OF THE AUDIT COMMITTEE OF

Schedule O (Form 990) 2021 Page **2**

Schedule O (Form 990) 2021	Page 2
Name of the organization CARNEGIE INSTITUTION OF WASHINGTON	Employer identification number 53-0196523
THE BOARD OF TRUSTEES FOR REVIEW AND COMMENT. AFTER THE AUDIT COMMITTEE HAS	
REVIEWED THE RETURN AND ITS FEEDBACK HAS BEEN INCORPORATED, THE RETURN IS	
DISTRIBUTED TO ALL MEMBERS OF THE BOARD OF TRUSTEES FOR REVIEW AND COMMENT	
BEFORE IT IS FILED WITH THE IRS. TRUSTEES ARE ENCOURAGED TO CONTACT THE	
CHIEF OPERATING OFFICER OR DIRECTOR OF FINANCE WITH ANY QUESTIONS.	_
FORM 990, PART VI, SECTION B, LINE 12C:	
CONFLICT OF INTEREST POLICY	
CARNEGIE HAS ADOPTED CONFLICT OF INTEREST POLICIES THAT APPLY TO THE	
INSTITUTION'S TRUSTEES, CORPORATE OFFICERS, AND EMPLOYEES. THESE POLICIES	
REQUIRE EACH TRUSTEE TO COMPLETE ANNUALLY A FORM TO DISCLOSE ANY POTENTIAL	
CONFLICTS OF INTEREST. THE FORMS ARE COLLECTED BY THE CORPORATE SECRETARY,	
AND ANY DISCLOSURES ARE SUBSEQUENTLY PROVIDED TO THE BOARD OF TRUSTEES OR	
RELEVANT COMMITTEE OF THE BOARD FOR REVIEW AND APPROVAL. SIMILARLY, ALL	
EMPLOYEES OF CARNEGIE (INCLUDING CORPORATE OFFICERS) ARE REQUIRED, UPON	
HIRING AND ANNUALLY THEREAFTER, TO REPORT THEIR POTENTIAL CONFLICTS OF	
INTEREST. DEPARTMENT DIRECTORS REVIEW ALL POTENTIAL CONFLICTS IN THEIR	
DEPARTMENTS. THE PRESIDENT REVIEWS ALL POTENTIAL CONFLICTS FOR DEPARTMENT	
DIRECTORS. THE BOARD OF TRUSTEES REVIEWS ALL POTENTIAL CONFLICTS FOR THE	
CORPORATE OFFICERS. THROUGHOUT THE YEAR, EACH INDIVIDUAL SUBJECT TO THE	
CONFLICT OF INTEREST POLICIES IS REQUIRED TO UPDATE HIS/HER DISCLOSURE	
STATEMENT TO INCLUDE ANY INFORMATION REQUIRED TO BE DISCLOSED. THE SIGNED	
EMPLOYEE DISCLOSURE FORMS ARE KEPT IN THE EMPLOYEE'S PERSONNEL FILE.	
FORM 990, PART VI, SECTION B, LINE 15:	
CARNEGIE ENGAGES AN INDEPENDENT COMPENSATION CONSULTING FIRM TO CONDUCT A	
COMPENSATION STUDY TO EVALUATE THE REASONABLENESS OF THE TOTAL PROPOSED	
COMPENSATION FOR THE ORGANIZATION'S "DISQUALIFIED PERSONS" UNDER TREAS.	

Schedule O (Form 990) 2021 Page **2**

Name of the organization CARNEGIE INSTITUTION OF WASHINGTON	Employer identification number 53-0196523
REG. 53.4958-3 WITHOUT REGARD TO WHETHER THE PERSON HAS BEEN ELECTED AN	33 322332
OFFICER. THE INDEPENDENT COMPENSATION STUDY FOCUSES ON THE COMPENSATION	
PAID TO FUNCTIONALLY COMPARABLE POSITIONS AT SIMILARLY-SITUATED	
ORGANIZATIONS AND ALSO CONSIDERS INDUSTRY COMPENSATION SURVEYS. THE	
COMPENSATION STUDY IS PROVIDED TO THE ORGANIZATION'S HUMAN RESOURCES AND	
COMPENSATION COMMITTEE, TOGETHER WITH A REASONED WRITTEN OPINION FROM THE	
COMPENSATION CONSULTANT THAT THE PROPOSED COMPENSATION ARRANGEMENTS FOR	
THE DISQUALIFIED PERSONS ARE "REASONABLE" WITHIN THE MEANING OF TREAS.	
REG. 53.4958-4(B)(1)(II)(A). THE HUMAN RESOURCES AND COMPENSATION COMMITTEE	
SETS COMPENSATION FOR EACH DISQUALIFIED PERSON AND MAKES A RECOMMENDATION	
TO THE FULL BOARD OF TRUSTEES WITH RESPECT TO COMPENSATION FOR THE	
PRESIDENT. THE FULL BOARD THEN REVIEWS THE COMPENSATION STUDY AND OPINION	
FOR THE PRESIDENT TOGETHER WITH THE RECOMMENDATION OF THE HUMAN RESOURCES	
AND COMPENSATION COMMITTEE AND MAKES A DECISION WITH RESPECT TO THE	
PRESIDENT'S COMPENSATION. THE HUMAN RESOURCES AND COMPENSATION COMMITTEE	
AND BOARD RELY ON THE COMPENSATION CONSULTANT'S OPINION AND COMPENSATION	
STUDY TO GUIDE ITS REVIEW, DELIBERATION, AND APPROVAL OF THE PROPOSED	
COMPENSATION ARRANGEMENTS, AND ITS DECISIONS REGARDING COMPENSATION	
(INCLUDING THE BASES FOR THESE DECISIONS) ARE DOCUMENTED IN THE MEETING	
MINUTES. THE HUMAN RESOURCES AND COMPENSATION COMMITTEE AND TRUSTEES WHO	
VOTE ON COMPENSATION FOR DISQUALIFIED PERSONS AND THE PRESIDENT DO NOT HAVE	
A CONFLICT OF INTEREST WITH REGARD TO THESE COMPENSATION ARRANGEMENTS.	
FORM 990, PART VI, SECTION C, LINE 19:	
MAKING ORGANIZATIONAL DOCUMENTS AVAILABLE TO THE PUBLIC	
IN ACCORDANCE WITH TREAS. REG. 301.6104(D)-1(A)(1) AND IRS NOTICE 2007-45,	
COPIES OF CARNEGIE'S THREE MOST RECENT FORMS 990 ARE MADE AVAILABLE FOR	
INSPECTION BY THE PUBLIC DURING REGULAR BUSINESS HOURS AT CARNEGIE'S OFFICE	

Schedule O (Form 990) 2021	Page 2
Name of the organization CARNEGIE INSTITUTION OF WASHINGTON	Employer identification number 53-0196523
IN WASHINGTON, DC. CARNEGIE'S MOST RECENT FORM 990 IS ALSO MADE AVAILABLE	
TO THE PUBLIC ON THE INSTITUTION'S WEBSITE. THE ORGANIZATION MAKES IT	
GOVERNING DOCUMENTS AVAILABLE TO THE EXTENT REQUIRED BY LAW. THE	
ORGANIZATION'S AUDITED FINANCIAL STATEMENTS AND STAFF CONFLICT OF INTEREST	
POLICY ARE ALSO MADE AVAILABLE TO THE PUBLIC UPON REQUEST.	
FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:	
POST RETIREMENT BENEFIT COST 8,248,738.	

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Department of the Treasury Internal Revenue Service

Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

CARNEGIE INSTITUTION	OF WASHINGTON					53-0196523		
Part I Identification of Disregarded Entities. Comple	te if the organization answered "Yes"	on Form 990, Part IV, line 33	3.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state o foreign country)	Legal domicile (state or Total incom			Direct o	(f) controlling ntity	9
	-							
Identification of Related Tax-Exempt Organiza	ations. Complete if the organization	answered "Yes" on Form 990	, Part IV, line 34, k	pecause it had one	or more re	elated tax-exer		
Part II organizations during the tax year.			,				•	
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity D status (if section		(f) Direct controlling entity		g) 512(b)(13) rolled ity?
				501(c)(3))			Yes	No
	_							
	_							
	_							
For Paperwork Reduction Act Notice, see the Instruction	s for Form 990.					Schedule R	(Form 99	0) 2021

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(1	h)	(i)	(j)	(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	ect controlling Predominant income Share of total Share of Diagraphics		Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	No VALIBI Genera	al or P ging er?	Percentage ownership			
		country)		sections 512-514)			Yes	No	K-1 (Form 1065)	Yes	No	
	_											

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	ent	tion b)(13) rolled tity?
CARNEGIE SCIENCE HOLDINGS - 84-3506481		oouna yy						Yes	No
813 SANTA BARBARA STREET PASADENA, CA 91101	HOLDING	DE	cis	C CORP	10,695.	74,686.	100%	х	
									<u> </u>

art V	Transactions With Related Organizations.	Complete if the organization answered "Ye	es" on Form 990, Part IV, line 34, 35b, or 36.
-------	--	---	--

Not	e: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.					Yes	No			
1	During the tax year, did the organization engage in any of the following transactions	with one or more re	elated organizations listed i	in Parts II-IV?						
а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity				1a		Х			
b	Gift, grant, or capital contribution to related organization(s)				1b		Х			
С	Gift, grant, or capital contribution from related organization(s)				1c		Х			
					1d		Х			
					1e		Х			
f	Dividends from related organization(s)				1f		Х			
					1g		Х			
h	Purchase of assets from related organization(s)				1h		Х			
b Gift, grant, or capital contribution to related organization(s) c Gift, grant, or capital contribution from related organization(s) d Loans or loan guarantees to or for related organization(s) e Loans or loan guarantees by related organization(s) f Dividends from related organization(s) g Sale of assets to related organization(s) h Purchase of assets from related organization(s) i Exchange of assets with related organization(s) j Lease of facilities, equipment, or other assets to related organization(s) k Lease of facilities, equipment, or other assets from related organization(s) l Performance of services or membership or fundraising solicitations for related organization(s) m Performance of services or membership or fundraising solicitations by related organization(s) n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) p Reimbursement paid to related organization(s) for expenses Reimbursement paid to related organization(s) for expenses Reimbursement paid by related organization(s) for expenses 1 Other transfer of cash or property from related organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.										
j	Lease of facilities, equipment, or other assets to related organization(s)				1j		Х			
k	Lease of facilities, equipment, or other assets from related organization(s)				1k		Х			
	Performance of services or membership or fundraising solicitations for related organization(s)									
m	m Performance of services or membership or fundraising solicitations by related organization(s)									
n										
					10		Х			
р	Reimbursement paid to related organization(s) for expenses				1p		Х			
					1q		Х			
r	Other transfer of cash or property to related organization(s)				1r	Х				
s	Other transfer of cash or property from related organization(s)				1s		Х			
2	If the answer to any of the above is "Yes," see the instructions for information on wh	ho must complete th	is line, including covered r	relationships and transaction thresholds.						
	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount inv	olved					
/ 4 \					_					
(1)										
(2)										
(3)										
(0)										
(4)										

<u>(5)</u>

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	Are all partners sec 501(c)(3) orgs.?	(g) Share of end-of-year assets	Disprotion allocat	por- ate ions?		General manage partner	(k) Al or Percentage ging ownership
	-									
										-
	_							Ochodolo		